

# Resurgence in Gauge theory & Sigma Models

①

Gerald & Ricardo :

$$\Theta(\lambda) = \sum_n p_{n,0} \lambda^n + \sum_c \bar{e}^{\sum_m p_{n,c} \lambda^m} \log(1/\lambda)^m$$

→ QM observables; matrix models; string theories.

general goal: - use RHS to study LHS!  
semi-classical expansion.

Natural questions: ① Why should such expressions hold?

"Answer": Thimbles → talks by Yuya;  
also some comments here.

② What about QFT?

Especially realistic QFT, such as  
asymptotically-free, non-SUSY theories?

↳ main topic of my lectures.

As soon as we try to think about ②, need  
to deal with

- practical {
- Ⓐ What is  $\lambda$ ? It runs!
  - Ⓑ What are  $\bar{e}^{\sum \lambda}$  terms?
  - Ⓒ What are  $\log(1/\lambda)$  terms?
  - Ⓓ How can we hope to say anything  
on paper, due to strong coupling?

② What should we ask about?

↳ What  $O(\lambda)$ 's can we ask about?

Basic  $g$ 's: - is a QFT gapped?

- does it confine?

- how are symmetries realized?

- what's the spectrum?

③ What can resurgence tell us about it?

↳ Start here, as a taste of what follows.

Foresight/recapitulate other lectures.

$$O(\lambda) = \sum_n p_n \lambda^n + \dots$$

Suppose  $p_n \sim n! \left(\frac{1}{S}\right)^n$ . What does it mean?

Well,  $\lambda$  runs according to  $\lambda \sim \frac{1}{S \log(Q/\lambda)}$

$p_n \sim n! \left(\frac{1}{S}\right)^n$  is called  
renormalon;

$R_0 \sim \frac{11}{3}$  in  $^{\text{YM}}$        $\lambda$        $\curvearrowright$  strong  
 external scale.  
 energy scale      such as momentum  $\sim$  gap scale.

Key is for  $S$  to be  $n$ -independent. Then  
 we get ambiguity  $n_{\text{f}}; e^{-\frac{S}{\lambda}}$



But then ambiguity  $\sim \pm i e^{-S_A} - \underline{a_i}$  (3)

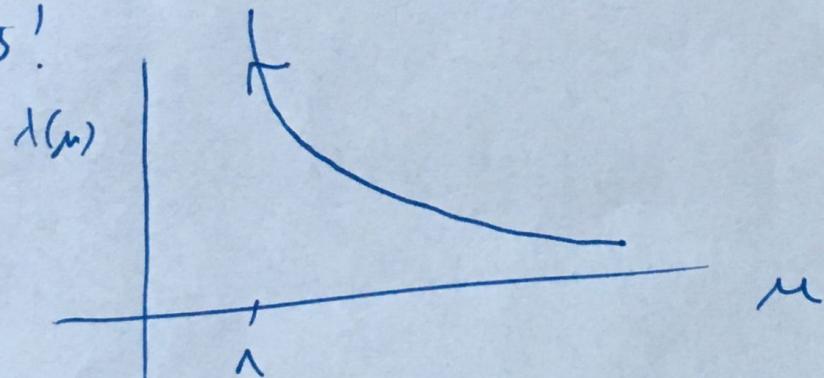
$$\pm i \exp \left[ \beta_0 \log \left( \frac{1}{Q} \right) \right]$$

$$\pm i \left( \frac{1}{Q} \right)^{\frac{S_A}{\beta_0}} \quad S_A/\beta_0 = \#.$$

So ambiguity is a "power correction", involving  
 Strong scale  $\sim$  mass gap. Understanding  
 Resurgent structure  $\leftrightarrow$  understanding NP dynamics.

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Before I can say more about resurgence, must answer  
 Q2; and along the way, explain how to tame  
 AF QFTs!



One option: just explained above, use "OPE".

Lectures by Antonio Pinedal

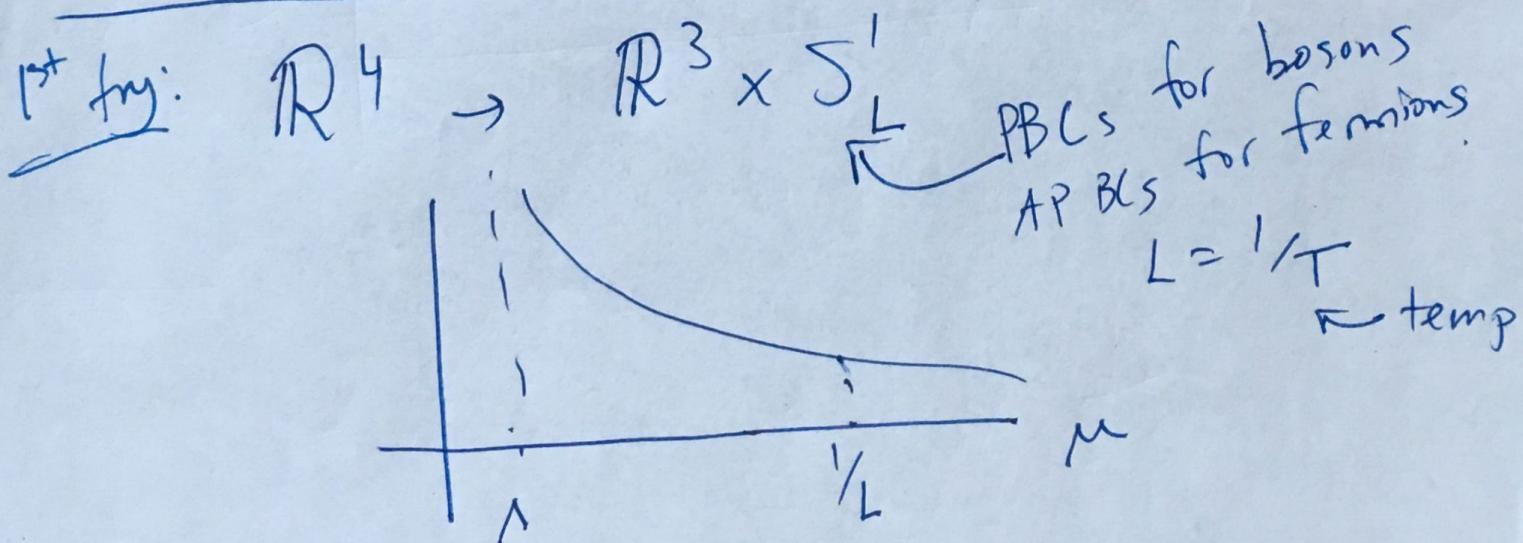
+ : very useful &  
interesting

- : can't get very far  
on paper, not easy to get  
info on confinement, gaps, etc.

Another option: focus on SUSY QFTS; use localization etc  
↳ see talk by Stefano

- My focus:
- adiabatic compactification technique
  - due to Unsal + collaborators, last few years.
  - works for generic non-SUSY AF QFTS;  
allows insights into confinement, symmetry breaking, mass gaps, resurgence, etc!
  - "disadvantage": not easy to say what happens  
in  $\mathbb{R}^4$  or  $\mathbb{R}^2$  ↳ not such  
big embarrassment,  
no one has solved QCD.

Basic idea extremely simple:



So could try to make  $\lambda L$  small, then  $\lambda(L)$  would be small, and we could calculate.

This FAILS. Several issues:

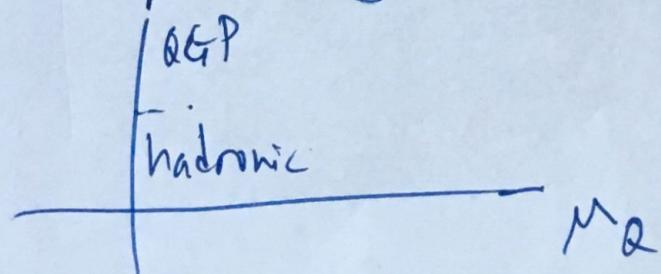
- At small enough  $L$ , fermions decouple, AP BCs  
 $\Rightarrow m_F \sim \frac{1}{L} \rightarrow \infty$   
explain KK!
- Gauge sector can be reduced to KK zero mode:

$$S = \frac{1}{g^2} \int d^4x + F^2 \rightarrow \frac{L}{g^2} \int d^3x + F^2 \equiv \frac{1}{g_{3D}^2} \int d^3x + F^2$$

So long-distance dynamics = 3D pure YM theory.  
 It's strongly-coupled! Gap  $\sim \frac{\lambda_{4D}(L)}{L} \sim \frac{1}{L} \log(M_L)$ ,  
 only log dependence on  $\Lambda$ .

Compare to  $R^4$  result: Gap  $\sim \Lambda$   
 $\sim$  power-law

Worst part: ~~break~~ high  $T$  and low  $T$  on  $\Lambda$ .  
 Physics separated by phase transition



⑥ ⑤

So the goal is to find compactification which is  
smooth - no phase transitions - which gives weakly  
coupled theory at small  $L$ .

Biggest danger: losing confinement! How to tell?

Order parameter: center symmetry. Only defined  
 on  $M_3 \times S^1$ ; ~~observe that~~ <sup>with</sup> gluon BCs

$$A_\mu(x_u + L) = A_\mu(x_u)$$

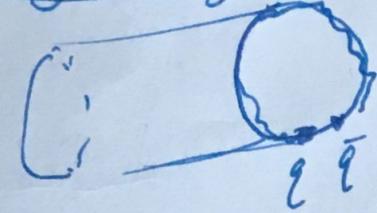
Path integral invariant under gauge transformations  
 that are aperiodic by an element of the center  
 of  $SU(N)$ . [center def.] [isomorphic to  
 $\mathbb{Z}_N$  for  $SU(N)$ ].

All local operators also invariant. But  $\mathbb{Z}_N$

$$\mathcal{L} = \text{free part} e^{i \oint A_\mu dx} \rightarrow w \mathcal{L} . \quad w \in \mathbb{Z}_N, \quad w = e^{2\pi i k/N}.$$

So  $\langle \text{tr} \mathcal{L} \rangle$  is an order parameter  
 for center symmetry.

Physically:



$$\Rightarrow \langle \frac{1}{N} \text{tr} \tau^a L \rangle \sim e^{-LF}$$

$F \sim$  free energy of heavy test quark!

Confinement  $\sim$  energy cost  $\rightarrow \infty$ ,  $F \rightarrow \infty$ ,  $\langle \frac{1}{N} \text{tr} \tau^a L \rangle = 0$   
deconfinement  $\sim \langle \frac{1}{N} \text{tr} \tau^a L \rangle \sim O(1)$ .

Another order parameter: free energy of full gauge theory  $\rightarrow$  valid at large  $N$ .

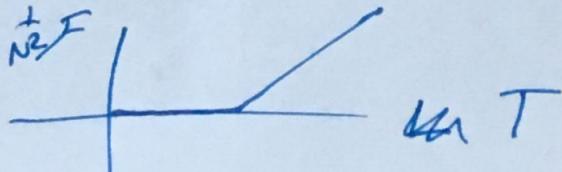
confined: degrees of freedom are  
glueballs & mesons  
masses  $\sim O(N^0)$ , degeneracies  $\sim O(N^4)$   
interactions  $\sim O(1/N)$

$$\Rightarrow F \sim O(N^0).$$

deconfined: quarks and gluons liberated  
gluon dof's  $(A_\mu)_{ij} \sim O(N^2)$

$$\Rightarrow F \sim O(N^2).$$

$\Rightarrow \frac{1}{N^2} F$  is order parameter.



In fact  $\frac{1}{N^2} F$  and  $\langle \frac{1}{\mu} + \sqrt{2} \rangle$  order parameters  
<sup>(8)</sup>  
<sup>(3)</sup>  
 are related, as we will see now.

Compactify pure YM on  $\mathbb{R}^3 \times S_L^1$ .

Broken Lorentz  $\Rightarrow \langle A_4 \rangle \neq 0$  is possible.  
 $\langle A_4 \rangle$  is determined dynamically.

$$\int d^4x \frac{N}{\lambda} \cdot \frac{1}{2} \text{tr } F_{MN}^{\mu\nu} F^{MN} = \int d^4x \left( \frac{N}{2\lambda} \text{tr } F_{\mu\nu} F^{\mu\nu} + \right. \\ \left. + \right. \\ \left. = \int d^4x \left[ \frac{N}{2\lambda} \left( \text{tr } F_{\mu\nu} F^{\mu\nu} + 2 \text{tr } F_{\mu\nu} F^{\mu\nu} \right) \right] \right).$$

(can always choose gauge where  $\langle A_4 \rangle$  is diagonal).

$$F_{\mu\nu} \sim D_\mu A_\nu \sim \underbrace{\partial_\mu}_{0} A_\nu + \underbrace{[A_\mu, A_\nu]}_0.$$

$\Rightarrow \langle A_4 \rangle$  has a classical moduli space.

free parameter classically.

$\Rightarrow$  quantum corrections generically lift it  
 & generate potential. (exception:  $N=4$  sym)

We have to compute 1-loop potential! - this is  
 a Coleman-Weinberg calculation, first done here by  
 Gross, Pisarski, Rajeev : Write  $A_4 = \langle A_4 \rangle + \tilde{A}_4$ ,  
 then integrate out  $\tilde{A}_4, A_\mu$  at one loop.  
 Result :  $V_{\text{eff}} = V_{\text{eff}}(\langle A_4 \rangle)$ .

Gauge invariance  $\Rightarrow V_{\text{eff}}(\langle A_4 \rangle) = V(\text{tr } \mathcal{R})$

$$\mathcal{R} = e^{i g \tilde{A}_4}$$

We are working @ 1-loop  $\Rightarrow$

$$V_{\text{eff}}(\mathcal{R}) = \frac{1}{L^4} \sum_{n=1}^{\infty} c_n \underbrace{\text{tr}_{\text{Adj}}}_{\substack{\mathcal{R} \\ \text{all fields adjoint}}} \mathcal{R}^n$$

$\xrightarrow{\text{dim-analysis}}$

$$= \frac{1}{L^4} \sum_{n=1}^{\infty} c_n \left( (\text{tr}_F \mathcal{R}^n)^2 - 1 \right)$$

$\xrightarrow{\text{pure numbers, have to be calculated.}}$

Could do it directly. But won't! Eventually we want to minimize this w.r.t.  $\mathcal{R}$ . But suppose  $\mathcal{R} = \mathbb{1}$ .

Then  $V_{\text{eff}} = \frac{1}{L^4} \sum_{n=1}^{\infty} c_n (N^2 - 1) = -F$

$\xrightarrow{\text{free-energy density in massless}}$

physical # of d.o.f's :  $2(N^2 - 1)$  theory,  $L = 1/T$   
 polarizations

But we know that  $F$  for a single free <sup>massless</sup> boson is (1)

$$F = \frac{24}{\pi^2} \cdot \sum_{n=1}^{\infty} \frac{1}{n^4} \cdot T^4$$

$$\Rightarrow C_n = \frac{2}{\pi^2} \cdot \frac{1}{n^4}$$

$$\Rightarrow V_{\text{eff}}^{\text{YM}}(\mathcal{R}) = -\frac{2}{\pi^2} \sum_{n=1}^{\infty} \frac{1}{n^4} (|\text{tr}_F \mathcal{R}|^2 - 1)$$

So, in pure YM, this is minimized when  $\mathcal{R} = 1 \cdot \omega$ ;

center is broken at small  $L/\lambda$ , where  $\lambda(L) \rightarrow 0$ .

Reproduces standard expectation: high  $T \sim$  broken center.

$$F \sim N^2 \rightarrow \frac{F}{N^2} \propto \alpha_1 \text{ deconfinement}$$

$\mathcal{R} \in \text{SU}(N) \Rightarrow$  diagonal basis, eigenvalues

must lie on unit circle

$(e^{i\chi_1}, e^{i\chi_2}, \dots)$  all clumped.



Without further tricks, can't proceed with pure YM.  
What about other QFTs?

Try "adjoint QCD":  $\text{SU}(N) \text{ YM} + N_F$  adjoint Majorana fermions.

$N_F = 1 + \text{massless} \Rightarrow \underline{N=1 \text{ SYM}}$  massless

Could do directly for  $V_{\text{eff}}$ ; or do stat-mech again.  
Or, use SUSY!  
→ easiest.

Suppose we preserve SUSY,  $\mathbb{R}^3 \times S^1$  PBCLs for  
 $N_f = 1$ . everyone!

At 1-loop, bosonic & fermionic contributions must add.

$$V_{\text{eff}} = V_{\text{bosonic}} + V_{\text{fermionic}}$$

$$\begin{matrix} 2 \xrightarrow{\text{d.o.f., from}} \\ 1 \text{ loop} \end{matrix} \quad \begin{matrix} \text{SUSY: 2 fermionic d.o.f.} \\ \text{from 1 loop.} \end{matrix}$$

Fermion loop has  $(-1)$  sign.  $\Rightarrow$  1-loop cancellation,  
for  $N_f = 1$ .

$\Rightarrow$  generic  $N_f$  @ 1 loop

$$V_{\text{eff}}(\mathcal{S}) = (N_f - 1) \cdot \frac{2}{\pi^2} \frac{1}{L^4} \xrightarrow{\text{to}} \sum_{n=1}^{\infty} \frac{1}{n^4} (\text{tr}_F \mathcal{S}^n / -1).$$

First focus on  $N_f > 1$ . Before potential favored eigenvalue attraction.  $N_f = 2$ : sign flip!

Now, all eigenvalues repel.



smoothly distributed!

In terms of  $\mathcal{R}$ , we get

$$\mathcal{R} = \begin{pmatrix} 1 & \omega & \omega^2 & \dots \\ & \ddots & \ddots & \dots \\ & & \ddots & \omega^{N-1} \end{pmatrix}, \quad \omega = e^{2\pi i / N}$$

for odd  $N$ .

Exercise: verify  $\det \mathcal{R} = 1$ , find fix for even  $N_f$ .

Check:  $\text{tr } \mathcal{R} = \underbrace{1 + \omega + \dots + \omega^{N-1}}_{\text{roots of unity}} = 0$  !

$$\text{tr } \mathcal{R}^2 = 0, \dots, \text{tr } \mathcal{R}^n = 0 \quad \forall n \neq N_k, \quad k \in \mathbb{N}.$$

Consequence: confinement is maintained even at very small  $L$ , center. sym. is good!

$$\begin{aligned} V_{\text{eff}} \Big|_{\min} &= \frac{2(N_f - 1)}{\pi^2 \beta^4} \sum_{n=1}^{\infty} \underbrace{\frac{1}{n^4} (\text{tr } \mathcal{R}^n)^2}_{(\frac{1}{n^4})(-1)} - 1 \\ &\quad + \Theta(1/N^2) \\ &= -\frac{2(N_f - 1)}{\pi^2 \beta^4} g(4) + \Theta(1/N^2) = -F \end{aligned}$$

$$\text{So } \frac{E}{N^2} \sim O(1/N^2) \rightarrow 0 \text{ at large } N. \quad (13)$$

two criteria for confinement agree.

What about spectrum? Is theory really weakly coupled? Is there a gap?

Translating  $\mathcal{S} \rightarrow A_4$ ,  $\text{tr} \mathcal{S} = 0 \Rightarrow A_4 \neq 0$ !

But remember

$$\int d^4x \text{tr} F_{\mu\nu}^2 \stackrel{!}{=} L \int d^3x (F_{\mu\nu} F^{\mu\nu} + 2 D_\mu A_4)$$

$A_4$  acts like 3D adjoint scalar.  $\langle A_4 \rangle \neq 0$   
 $\Rightarrow$  adjoint Higgs mechanism.

Let's focus on KK-zero modes; then e.g.

$$M_W^{mn} = \underbrace{\frac{2\pi}{N_c L}}_{\substack{\text{eigenvalue} \\ \text{spacing}}} \underbrace{(i-j)}_{\text{color labels}}$$

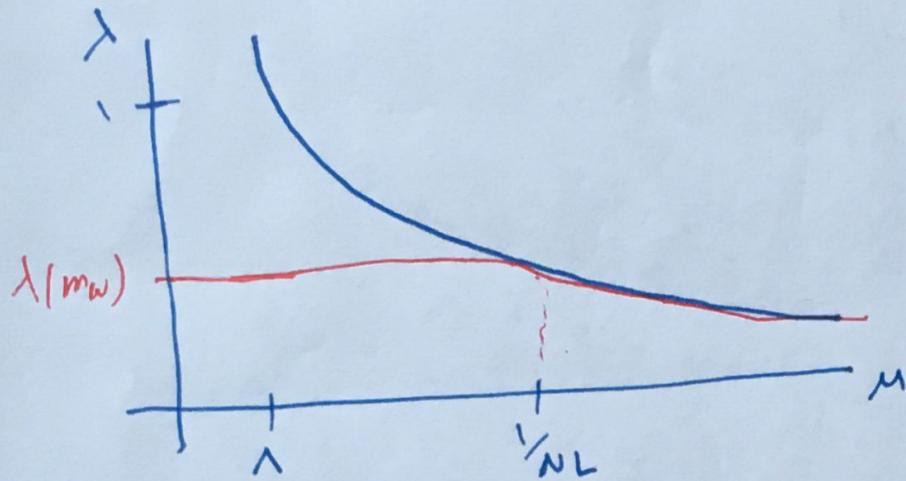
Consequence: off-diagonal  $A$ 's pick mass.  $\sim \frac{1}{NL}$ !  
 diagonal ones don't.

$SU(N) \rightarrow U(1)^{N-1}$  "Coulomb branch".

~~In fact well~~ consequence:

All charged matter gets mass  $\sim \frac{1}{NL}$ .

$\Rightarrow$  coupling must stop running at  $\sim \frac{1}{NL}$ .



So if we take  $1/NL \ll 1$  we get  
a weakly coupled theory at all distances!

Abelianization key for this!

Long-distance theory in pert. theory:

$$S = \frac{L}{g^2} \int d^3x \sum_{n=1}^{N-1} F_{\mu\nu}^{(i)} \quad \text{Cartan gluons.}$$

Already saw this at 1 loop. All-loop argument:

$$F_{\mu\nu}^{(i)} = \frac{g^2}{2\pi L} \epsilon_{\mu\nu\rho} \partial^\rho \sigma^{(i)} \quad \text{dual scalar.}$$

3D Abelian duality!

Dual scalar has shift symm!  $\sigma^{(i)} \rightarrow \sigma^{(i)} + c^{(i)}$ . (15)

Reason: associated conserved current  $\Rightarrow$

Noether current:  $\vec{J}_\mu = \partial_\mu \vec{\sigma}$ . absence of magnetic monopoles!

But note  $J_\mu = \epsilon_{\mu\nu\rho} F^{\nu\rho} =: B_\mu$ .

Then  $\partial_\mu J^\mu = 0 \Rightarrow \partial_\mu B^\mu = 0$  magnetic field.

This is condition for also lack of magnetic monopoles.

$\vec{\sigma}$ : neutral.  $W$ -bosons electrically charged

lightest  $Q = g \alpha_i$

next lightest

quarks are electrically charged.  $g(\alpha_i + \alpha_{i+1})$  etc.

NOTHING has magnetic charge... In pert. theory!

So what about so long distance theory really is

$$S = \int d^3x \left(\frac{g}{2\pi}\right)^2 L \sum_{n=1}^{N-1} (\partial_\mu \sigma_n)^2 .$$

In perturbation theory, to all orders.

Gapless theory? No. Have to look at NP effects.

Before we do, some comments.

Assumed  $N_f \geq 1$ . What about  $N_f = 1$ ?

$V_{\text{eff}} = 0$  to all orders in p.t.

But,  $\exists$  NP effects, generate potential

$$V_{\text{eff}} = e^{-\frac{16\pi^2}{g^2 N}} \cdot \frac{1}{\beta^4} \sum_{n=1}^{\infty} \frac{1}{n^n} (\text{tr} \mathcal{R}^n)^2 - 1$$

stabilize center.

Hollowood, Khoze, Mattis 1997; ~~St~~ Poppitz, Schaefer, Unsal, 2012.  
our discussion then applies.

what about turning on fermion mass ? ~~to~~

For  $N_f \geq 2$ ,  $m_F \gtrsim \frac{1}{NL}$ , center still stabilized.

$N_f = 1$ , subtle, see Poppitz et al.

$N_f = 0$ ? Can use double-trace deformations,

$$S_{YM} \rightarrow S_{YM} + S_d, S_d = \int d^3x \sum_{n=1}^{\infty} a_n (\text{tr} \mathcal{R}^n)^2$$

Small L: preserve center! Large L: negligible effect!

intermediate L: smooth, from lattice simulations.

Unsal + Yaffe, 2010.

Back to small L Story. Focus on "dual scalars" (F)

universal.

Saw that they're gapless in PT, due to emergent topological symm, ( $\Rightarrow$ ) absence of mag. monopoles.

At NP level, story changes!

$$SU(N) \rightarrow U(1)^{N-1}$$

$$\pi_2\left(\frac{SU(N)}{U(1)^{N-1}}\right) = \mathbb{Z}^{N-1}$$

$\Rightarrow \exists$  't Hooft-Polyakov magnetic

monopoles,

with magnetic charge

$$(c \in \mathbb{Z}, \int_{S^2} d\Sigma \cdot F = \frac{2\pi}{g} \alpha_i c \text{ for type } i \text{ monopole.})$$

$\alpha_i$  = simple root of  $SU(N)$  algebra

$$\alpha_1 = (1, -1, 0, \dots), \alpha_2 = (0, +1, -1, 0, \dots)$$

$$\alpha_{N-1} = (0, \dots, 1, -1); \alpha_N = (-1, \dots, \underbrace{-1}_{N-1 \text{ dim basis}})$$

$$\alpha_N \text{ is affine root.} \quad \text{Using } N\text{-dim basis simplifies life; } \sim SU(N) \times SU(N).$$

extra "diagonal" photon completely decouples.

$$tr t^a t^b = \delta^{ab}.$$

NB: If Higgs field compact,  $\exists N$  monopoles, not  $N-1$ .  
Lee-Pi, Kraan-van-Baal.

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These monopoles are also instantons:

$$\int \frac{1}{16\pi^2} \text{tr } F_{MN} \tilde{F}^{MN} \cdot dx = \frac{1}{N} k, \quad k \in \mathbb{Z}.$$

$\Rightarrow$  their weight in a path integral is

$$S = \int_{\mathbb{R}^3 \times S^1} \frac{1}{2g^2} \text{tr } F_{MN}^2 = \left| \int_{\mathbb{R}^3 \times S^1} \frac{1}{2g^2} \text{tr } F A F \right| = \frac{8\pi^2}{g_N^2}$$

↑  
self-dual

$$\Rightarrow \text{weight} = \exp \left[ -\frac{8\pi^2}{g_N^2} \right] \quad + \text{Hart coupling?}$$

Note: cyclic permutations of  $\mathcal{L}$  eigenvalues  
is part of gauge sym.

This cyclically permutes monopole-instantons

↳ only present for compact Higgsing

$$\begin{array}{c} \overset{2}{0} \overset{3}{-} \overset{4}{0} \dots \overset{n}{0} \\ \text{---} \\ \text{---} \end{array} \quad \text{vs} \quad \begin{array}{c} \overset{1}{0} \overset{2}{-} \overset{3}{0} \dots \overset{n-1}{0} \\ \text{---} \\ \text{---} \end{array}$$

$su(N)$

$$\begin{array}{c} \overset{1}{0} \overset{2}{-} \overset{3}{0} \dots \overset{n-1}{0} \\ \text{---} \\ \text{---} \end{array}$$

Dynkin diagrams.

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Thus since monopole-instantons are BPS,  $\exists$  explicit solutions:

For instance in  $SU(2)$ ,  $\mathcal{N}_{\text{center-sym}} = (e^{iL\psi/2}, e^{-iL\psi/2})$ ,

$$\text{Then } A_4^a = \mp n_a v P(vr) \quad v = \pi/L = \frac{1}{2} \langle A_4 \rangle.$$

$$A_i^a = \epsilon_{aij} n_j \frac{1 - A(vr)}{r}$$

$$P(x) = \coth x - 1/x; \quad A(x) = \frac{x}{\sinh x}; \quad n_a = \frac{\Gamma_a}{|\Gamma|}$$

Note that they are independent of  $x_4$ !

In the  $SU(2)$  case, can verify  $S_E = \frac{4\pi Lv}{g^2} = \frac{8\pi^2}{g^2 \cdot 2}$   
Fact that  $\mathcal{N}$  is a compact variable

$\hookrightarrow$  vacua with  $\langle A_4^3 \rangle$  differing by  $k \cdot \frac{2\pi}{L}$   
~~can use~~ are equivalent, since what enters is  $e^{gA_4} = 1$ .

$\Rightarrow$  extra solutions which interpolate between vacua with different twists! Existence tied to 4D generates - k-dep twist in  $x_4$  nature.

Lowest action twisted monopole-instantons:

$$S_E = \frac{4\pi L}{g^2} \left| \frac{2\pi k}{L} + v \right|; \quad \text{take } k = -1, v = \pi/L$$

$$\underline{S_E^{\text{center}}} = \frac{8\pi^2}{g^2 \cdot 2} \quad \text{Same as above}$$

Normal BPST instanton = sum of usual monopole-insts  
 ↓  
 4D beast! + KK one.  
 ↓  
 vital All BPS!

So what does the Euclidean vacuum look like?

Heuristically, we have a bunch of monopoles, interacting via

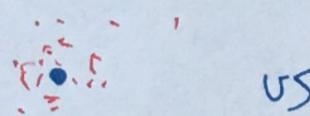
$$V_{\pm i, \pm j}(\vec{r}) = L \left( \frac{2\pi}{g} \right)^2 \frac{(\pm \vec{\alpha}_i) \cdot (\mp \vec{\alpha}_j)}{\frac{4\pi}{3} \text{vol}(\vec{r})} = \pm L \left( \frac{2\pi}{g} \right)^2 \frac{2\delta_{ij} - \delta_{i,i+1} - \delta_{i,i-1}}{\frac{4\pi}{3} \text{vol}(\vec{r})}.$$

Coulomb!

multi-component classical plasma, nearest-neighbor interactions in "Dynkin-space".

⇒ Debye screening for magnetic charge

$$\frac{1}{r} e^{-m_D r}, \text{ for some } m_D \text{ (needs calculating)}$$



vs

Screening of magnetic charge  $\sim$  old dual superconductor picture of confinement.

How to calculate screening scale?

⇒ Understand  $\vec{F}$  interactions induced by monopole-instantons!

Remember that without monopoles,

$$S_0 = \int d^3x \left(\frac{g}{2\pi}\right)^2 \frac{1}{L} \sum_{n=1}^{N-1} (\partial_n \vec{\tau}_n)^2.$$

writing  $\vec{\tau}_n \rightarrow \sum_{k=1}^N \vec{\alpha}_k$  s.t.  $\vec{\alpha}_n \cdot \vec{\tau} = \vec{\tau}_n$ , (added  $\vec{\alpha}_0$ ,  $SU(N) \rightarrow U(N)$ )

$$S_0 = \int d^3x \left(\frac{g}{2\pi}\right)^2 \frac{1}{L} (\partial_0 \vec{\tau}) \cdot (\partial^N \vec{\tau}). \quad \text{extra free field!}$$

Now

$$S_0 \rightarrow S_0 + \int d^3x e^{-S_0} f(\vec{\tau})$$

$f(\vec{\tau})$  not derivative-coupled

$$\stackrel{\uparrow}{S_0} = \frac{8\pi^2}{92N}$$

→ monopoles have mg. charge, break  $\vec{\tau}_n = \vec{\alpha}_n \vec{\tau}$

symmetry.

Breaking not arbitrary: must respect  $\vec{\tau}$  periodicity!  
 comes from fact that  
 $SU(N)$  is compact.

periodicity:

$$\vec{\tau} = \vec{\tau} + 2\pi \vec{w}_n, \quad n=1, \dots, N.$$

To figure this out,  $\mathbb{R}^3 \times S^1$

$$\mathbb{R} \times \mathbb{T}^2 \times S^1$$

magnetic fluxes live here

flux quantization  $\Rightarrow$  periodicity,

see e.g. 't Hooft, Polyakov, Teitelboim 1406.1199.

To enforce periodicity,  $f_i(\vec{\sigma}) = f/e^{i\vec{\alpha}_i^* \cdot \vec{\sigma}}$  (22)

$$\vec{\alpha}_i^* \cdot w_j = \delta_{ij}$$

$w$  co-root vectors

$\Rightarrow$  root vectors in  $SU(N)$

To find actual  $f(\vec{\sigma})$  need to actually calculate...

sum up fluctuations around monopole-instanton.

Gives 't-Hooft-like "monopole-instanton vertex"

$$\text{for type } \rightarrow f_i(\vec{\sigma}) = \underbrace{4\pi^3 m_w^3 (g^2 N)}_i^{-2} \left( e^{i\vec{\alpha}_i \cdot \vec{\sigma}} + h.c. \right)$$

So, taking leading NF effects, the  $\vec{\sigma}$  action is

$$S_\sigma = \int d^3x \left[ \left( \frac{g}{2\pi} \right)^2 \cdot L \left( \partial_\mu \vec{\sigma} \right)^2 + g \sum_{i=1}^N \cos(\vec{\alpha}_i \cdot \vec{\sigma}) \right] + \dots$$

This is a big deal. higher-order effects.

$\Rightarrow \exists$  a mass gap for gauge fluctuations.

$\hookrightarrow$  Solution of mass gap problem on  $R^3 \times S^1$ .

$\hookrightarrow$  can also use it to show  $\exists$  string tension.

Let's see the mass gap! Switch to canonical  
coorder basis  $\tau \rightarrow \sum \frac{2\pi}{g} \omega_i \sigma^i$ , expand around  $\tilde{\tau} = 0$   
min,

$$V(\tilde{\sigma}_i) = \frac{1}{2} m_\gamma^2 \sum_{i=1}^N (\sigma_{i+1} - \sigma_i)^2,$$

$$m_\gamma = \frac{(2\pi)^2}{g^2 N} NL \propto = A m_W^2 \left(\frac{2\pi}{\lambda}\right)^3 e^{-\frac{8\pi^2}{\lambda^2}}.$$

Not yet diagonal in label space.

⇒ Diagonalize via  $\mathbb{Z}_N$  Fourier transform:

$$\tilde{\sigma}_p = \frac{1}{\sqrt{N}} \sum_{j=1}^N e^{2\pi i \cdot p j} \sigma_j$$

$$\Rightarrow V(\tilde{\sigma}) = \frac{1}{2} \sum_{p=1}^{N-1} m_p^2 |\tilde{\sigma}_p|^2$$

$$m_p > 0 \quad \forall p = 1, \dots, N-1 \quad m_p = m_\gamma \sin\left(\frac{\pi p}{N}\right), \quad p = N \text{ fictitious.}$$

There's a gap!

N.B.: You might be worried about gauge-dep.

$\sigma_p$  modes have gauge-invariant expression:

Note that  $\underbrace{\frac{1}{N} \sum_{k=0}^{N-1} \omega^{kn} \sigma^k}_{P_k} \Big|_{\text{center-symm}} = \begin{pmatrix} 0 & & & \\ & \ddots & & 0 \\ & & 0 & \\ & & & \ddots & 0 \end{pmatrix}^k$

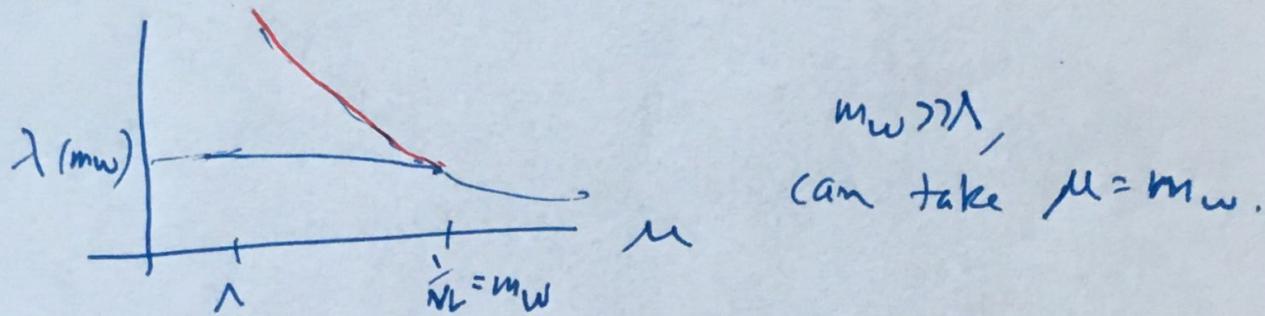
$$\Rightarrow F_{\mu\nu}^k = \frac{1}{N} \nabla^k (P_k F_{\mu\nu}) \approx \epsilon_{\mu\nu\rho} \partial^\rho \sigma^k$$

(24)

We can write gap in terms of  $\Lambda_{QCD}$ :

$$\lambda^{b_0} = \mu^{b_0} (N g^2(\mu))^{-b_1/b_0} e^{-\frac{8\pi^2}{g^2(\mu)N}}$$

Dimensional transmutation

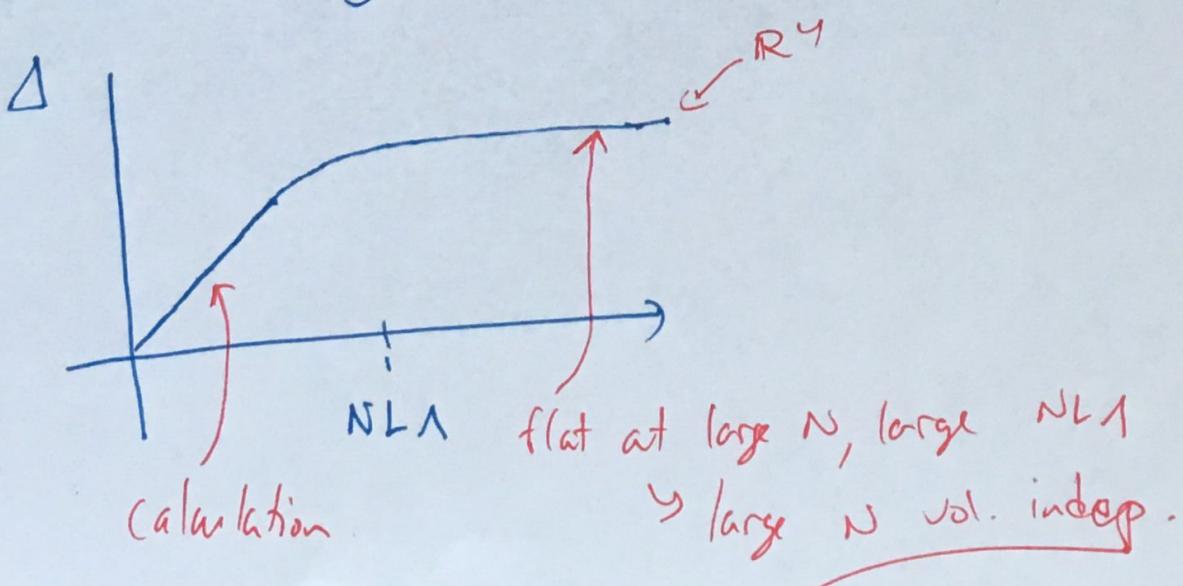


Then  $m_\gamma = \tilde{\lambda} \lambda \cdot (NL)^{5/6} |\ln NL\lambda|^{9/11}$ .

since for pure YM  $b_0 = 1/3$ ,  $b_1 = 17/3$ .

Dependence on  $\lambda$  is power-like,  
not purely logarithmic as at high T.

Long-distance-dynamics is weakly coupled.



(25)

Before diving into corrections to this picture,  
and renormalizations, comment on QFTs with  
massless fermions:

Monopole-instantons carry (mag, top) charge  
( $\vec{J}_F$ ,  $\int F \tilde{F}$ )

$$\begin{array}{ll} \text{BPS : } (+1, +1/N) & \overline{\text{BPS}} = (-1, -1/N) \xrightarrow{\text{N-1 types.}} \\ \text{KK : } (-1, +1/N) & \overline{\text{KK}} = (+1, -1/N) \end{array}$$

Suppose we add  $N_f$  massless adjoint fermions.

Index theorem  $\Rightarrow$  charge  $Q$  config has

$$2N_f N_c Q \text{ fermion zero modes.}$$

Here  $2N_f N_c Q = 2N_f$  for any given object.

Adjoint Higgsing gives "mass" to everything except  
Cartan fermions  $\sum_a \vec{\psi}_a$  flavor.

$N$ -vector,  
like  $\vec{\epsilon}$ , obeying  $\alpha_k \cdot \vec{\epsilon}_a = \underbrace{\epsilon_{k,a}}_{\text{Cartan}}$ .

For simplicity, take  $N_f = 1$ . Then monopole-inst amplitude is (26)

$$N_c = \sim e^{-\frac{8\pi^2}{g^2 N}} \psi_k \psi_k e^{i \alpha_k \cdot \vec{\sigma}}$$

$\Rightarrow$  interaction term is  $\int d^3x g \epsilon_k \cos(\alpha_k \cdot \vec{\sigma}) \psi_k \psi_k$   
 This is not an interaction of  $\sigma$  with itself!  
NOT mass term for  $\vec{\sigma}$ !

$\Rightarrow$  Monopole-instantons contributes to fermionic potential, NOT bosonic one.

What if we were in a purely 3D theory?

$\Rightarrow$  NO KK-monopole-inst's.

Any combination of BPS KK  $M_n$ 's

either has ① (mag, top) = (0, 0)  $BPS + \overline{BPS}$

OR ② ( $\#$ ,  $\pm \frac{k}{N}$ )  $\sum_{k \in \mathbb{N}} BPS + \overline{BPS}$

But

$$\partial_m J_s^M = \frac{g^2 N}{32\pi^2} \cdot 2N_f + F_{MN} \tilde{F}_{MN}$$

$\Rightarrow DQ_5 \neq 0$  so long as  $D = \int d^4x \partial_\mu F^\mu \tilde{F}^\mu \neq 0$ .

$\Rightarrow$  ② has fermion zero modes!  
 Can't contribute.

$BPS + \overline{BPS}$  has no zero modes, but also has no magnetic charge!

$\Rightarrow$  Can't break  $\vec{\sigma}$  shift symmetries.

$\Rightarrow$  Famous result: 3D  $SU(N) + \cancel{AdS} Higgs + \cancel{AdS} \frac{\text{ferm}}{\text{massless}} \text{ fermions}$   
has no gap. Affleck, Harvey, Witten  
 1982.

But we're working with locally 4D theory,  
 and we do have KK-monopole-instantons!

$$\text{su}(2): BPS + \begin{matrix} \overline{KK} \\ (1, 1/2) \quad (1, -1/2) \end{matrix} = (2, 0) = (\text{mag}, \text{top}).$$

- No top charge  $\Rightarrow$  no overall zero modes.
- Non-zero mag. charge  $\Rightarrow$  will generate mass gap.  
 for  $\vec{\sigma}$  fields.
- No top charge  $\Rightarrow$  NON-BPS; called "magnetic bion".  
 No closed-form exact solution  
 in 4D case.

With discussing magnetic bions will bring us  
to resurgence. (28)

## Topological Molecules

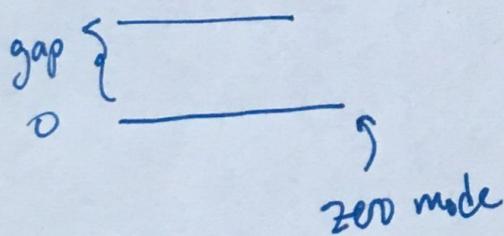
Suppose we have finite action configuration;

$$Z \supset e^{-S} \cdot \Sigma \text{ fluctuations}$$

What do fluctuations look like?

⋮

Origin of zero modes:  
symmetries!



↔  
3 translation  
zero modes

Monopole-instanton

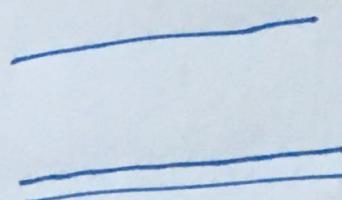
+ bunch of color-orientation  
ones.

- The zero mode integrals are always trivially doable, give factors like  $(S_I)^n$  for various  $n$  depending on # of zero modes.

- Rest of modes can be integrated out in Gaussian approx. ⊗

Exception :

(29)



non-zero, but very close!

This is called a quasi-zero mode. Has to be treated separately from other modes, integral needs to be done exactly.

Where would QZM's come from?

$$\begin{array}{ccc} \textcircled{1} & \xrightarrow{\tau \rightarrow \text{QZM}} & \textcircled{2} \\ \text{BPS} & \xleftarrow[\pm \text{ zero-mode}]{} & \overline{\text{BPS}} \end{array} \quad \text{NOT solution to EoM.}$$

$$\text{Action} = 2 S_0 - c e^{-\frac{T}{m_w}}$$

action decreases for  $\tau \rightarrow m_w$ .

But for large  $\tau$ , dependence small, and as  $\tau \rightarrow \infty$ , dependence vanishes.

$\Rightarrow$  BPS -  $\overline{\text{BPS}}$  is a quasi-solution, or, "solution at infinity", with action  $2 S_0$ , and

- ① zero modes
- ② quasi-zero modes
- ③ Regular gaussian modes

View  $\infty$ -sep config as the solution, all else as fluctuations.

Remember our original interest in resurgence: (30)

$$\langle \sigma \rangle = \sum_m p_m \lambda^m + \underbrace{\sum_c e^{-S_c} \sum_{n,k} \lambda^n \log(\lambda)^k}_{\text{L}} \Big|_{n,k}$$

fluctuations around  $S_c = 0$  saddle point

fluctuations around  $S_c \neq 0$  saddle!

So what does sum/integral over QZM look like?

BPS type i       $\overset{\text{int}}{\underset{\text{BPS}}{\sim}}$  interact via  $\begin{cases} \sigma\text{-exchange} \\ \psi\text{-exchange} \end{cases}$   
; [in SUSY, extra massless mode]

Compute action,  $S$ , write

$$S - 2S_0 = \oint V_{\text{eff}}(t)$$

$$V_{\text{eff}}^{ij}(r) = - (\vec{\alpha}_i \cdot \vec{\alpha}_j) \frac{2\pi}{g^2} \cdot \frac{L}{r} + 4n_f \log(r)$$

" $\sigma$ -exchange" depends on  $\alpha_i, \alpha_j$   
could be attractive or repulsive

" $\psi$ -exchange" always attractive.

coeff is  $4\pi$  in SUSY case

This is the potential entering Euclidean monopole plasma picture!

So what does the bion amplitude look like? (31)

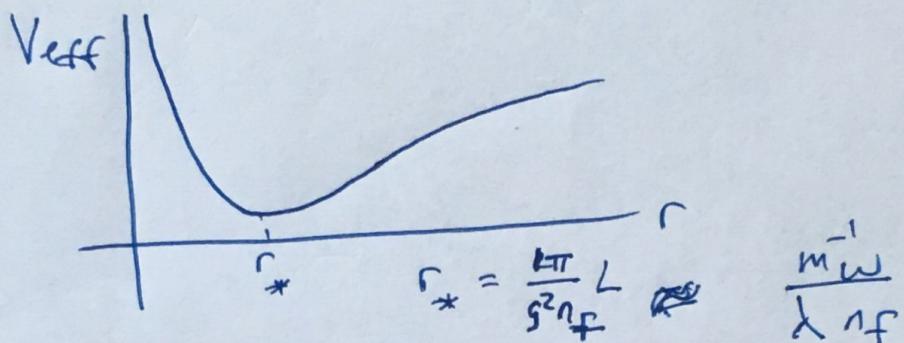
- $e^{-2S_0} e^{i(\vec{\alpha}_i - \vec{\alpha}_j) \cdot \vec{r}} \cdot (\text{fluc})$

fluct  $\propto (\text{monopole flux})^2 \int d^3 r e^{-V_{\text{eff}}(r)}$

Suppose  $\alpha_i \cdot \alpha_j < 0$ ; happens for nearest-neighbors since

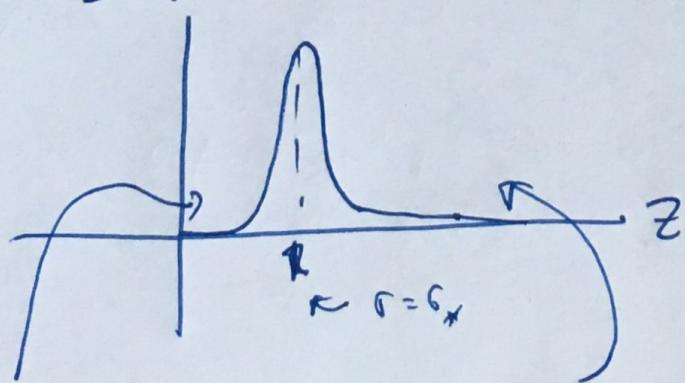
$$\alpha_i \cdot \alpha_j = 2\delta_{ij} - \delta_{i,j+1} - \delta_{i,j-1}$$

Then



$$\int d^3 r e^{-V_{\text{eff}}^{ij}(r)} = \int_0^\infty dz \left( \frac{4\pi}{g^2 n_f} \right)^{3-4n_f} \left( \frac{\pi L}{g^2 n_f} \right)^{3-4n_f} e^{-\frac{4n_f}{z}} z^{2-4n_f} dz$$

Integrand



"Coulomb blockade"  
Can't get on top of each other.

zero-mode suppression!  
individual monopoles forbidden.

$$\Gamma_m \sim m\bar{\omega} \ll \Gamma_b \sim \frac{m\bar{\omega}}{\lambda} \ll m_w e^{+\frac{S_0}{3}} \ll m_w e^{2\frac{S_0}{3}}$$

# of directions (32)

$\chi \dot{r}_{m-m}$        $r_{b-b}$

makes sense to think of ~~b~~ bions  
as "molecules".

$$I(g^2) = \int_0^\infty dz e^{-\frac{4\pi f}{z}} z^{2-4\pi f} \cdot \left(\frac{\pi L}{g^2 N_F}\right)^{3-4\pi f} \approx \left(\frac{1}{g^2}\right)^{3-4\pi f} \Gamma(4\pi f - 3).$$

So, after all that, we get a magnetic-bion induced potential for  $\sigma$ :

$$S_\sigma = \int d^3x \left(\frac{g}{2\pi}\right)^2 \frac{1}{L} (\partial_\mu \vec{\sigma})^2 + e \sum_{i=1}^N \cos((\vec{\alpha}_i - \vec{\alpha}_{i+1}) \cdot \vec{\sigma})$$

(can again expand around vacuum, diagonalize,

$$\text{result: } M_p^2 \sim m_w^2 e^{-2S_0} \sin^2\left(\frac{\pi p}{N}\right)$$

$p = 1, \dots, N-1.$

So again,  $M_{\min} > 0$ . Mass gap!

But exponentially smaller than in the bosonic case, or systems with massive fermions.

---

But what happens if we look at  $BPS_i - \bar{BPS}_i$  composites? <sup>(35)</sup>

Then  $V_{\text{eff}}^{ii} = -\vec{\alpha}_i \cdot \vec{\alpha}_i \frac{2\pi L}{g^2 r_i} + 4n_f \log r$

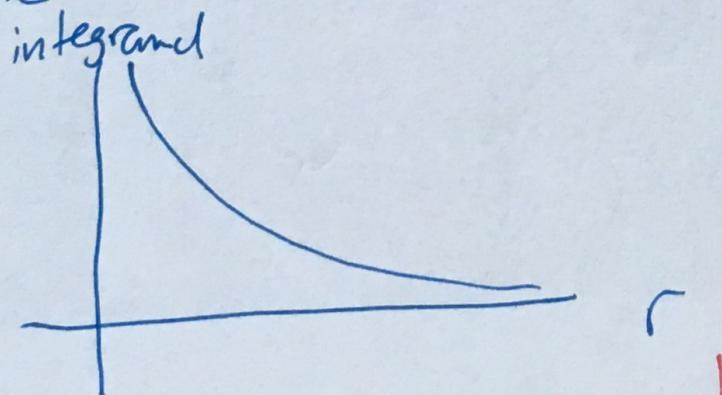
$$\int d^3r e^{-V_{\text{eff}}^{ii}} = \int_0^\infty dr r^2 \exp \left[ + \frac{4\pi L}{g^2 r} - 4n_f \log r \right]$$

$$= \int_0^\infty dr \exp \left[ + \frac{4\pi L}{g^2 r} - (4n_f - 2) \log r \right].$$

Before proceeding, note that such events should contribute to e.g. effective potential for  $\langle S^2 \rangle$ , to vacuum energy, etc.

$\hookrightarrow \exists$  perturbative contributions.

The "neutral bion" QZM integral deeply problematic



- Dominated by small  $r$  region!
- Integral diverges!

This is a disaster, on its face.

- ⇒ "neutral bion" indistinguishable from pert vacuum .
- ⇒ dilute gas approximation is not valid .
- ⇒ Same rules gave nice result for magnetic bion, and mass gap, give garbage for neutral bion . Why trust any of it ?

• We will see that the disaster is blessing in disguise  $\leadsto$  untangling reveals the semi-classical avatar of renormalons !

To explain this, will give two stories.

• Old: "Bogomolny - Zinn-Justin Prescription" 1980s, from QM .  
works, but ad hoc .

• New : Lefshetz Thimbles .

- much more systematic,  
gives extra insights .

## Lefshetz thimbles

Go back to the beginning. Why transseries?

$$\langle \theta_N \rangle = \frac{1}{2} \int d[\phi] \underbrace{e^{-\frac{1}{\lambda} S[\phi]}}_{\delta} \exp \left[ -\frac{1}{\lambda} S(\phi) + \log \delta \right].$$

Why should

$$\langle \theta \rangle = \sum p_n \lambda^n + \sum e^{-S_c/\lambda} \sum b_n \lambda^n ?$$

Ans: Each group = expansion around saddles  
 with action  $\frac{S_c}{\lambda}$ .

Saddle-point expansion = steepest descent expansion.

Easy in 1D integrals, where steepest descent  
 = ~~constant~~ stationary phase.

$\exists$  generalization to many dimensions.

steepest descent integration "cycles" = Lefshetz Thimbles.

# Each "attached" to a critical point

$\sum p_n \lambda^n$   
 "perturbative"  
 Thimble  
 integral

+  $\underbrace{e^{-\frac{1}{\lambda} S_c}}_{\text{saddle action}} \underbrace{\sum b_n \lambda^n}_{\text{fluctuations}}$

NP Thimble integral

General fact:

To find thimble contours, complexify field space

$$\textcircled{1} \quad \mathbb{R}^N \rightarrow \mathbb{C}^N$$

\textcircled{2} Find saddle points in  $\mathbb{C}^N$ . (Not just on  $\mathbb{R}^N$ .)  
(not just minimal)

\textcircled{3} For each saddle, thimble is half-dim ~~contour~~  
 in  $\mathbb{C}^N$  obeying manifold

$$* \quad \frac{d z_i}{d u} = \left( \frac{\delta S(z)}{\delta z_i} \right), \quad z_i(u \rightarrow -\infty) = \underbrace{z_i^*}_{\substack{\text{saddle}}} \quad \text{flow time}$$

can show  $\frac{\partial \text{Im}[S]}{\partial u} = 0$  if \* is obeyed.

Call thimble associated to saddle  $z_c^*$   $J_c$ .

Then integral on  $I$  = integral on  $\sum_c \alpha_c J_c$

~~Work~~ For this to work, important  
 to avoid degenerate points in parameter space  
 where steepest descent would connect two or more  
 critical points  $\hookrightarrow$  Stokes lines.

↳ In QFT, almost always sitting on Stokes line!

Warning: Theory reasonably developed in  $d=0$ , finite-dim integrals.

\* At formal level,  $\exists$  thimble theory for QM

+ practically, strong evidence from direct calculation

(37)

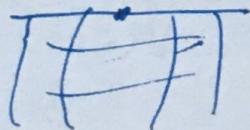
- Application to  $\infty$ -dim QFT path integrals still at an early stage.
- Will take perspective that success of lattice field theory  $\Rightarrow$  nothing too wild happens in  $\infty$ -dim limit or  $v \rightarrow \infty$  limit, and thimble ideas should still be useful.

Application:  $BPS_i - \overline{BPS}_i$  is a saddle at infinity.

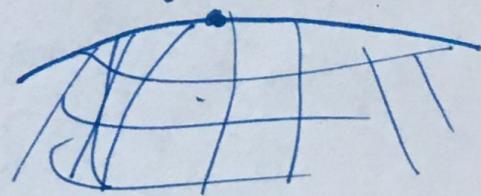
- Want to sum over fluctuations =  $\left\{ \begin{array}{l} \text{zero modes} \\ \text{QZM's} \\ \text{Gaussian modes} \end{array} \right.$
- ~~This is a thimble integral.~~
- Very hard in general:  $\infty$ -dim integration.



no QZM,  
zero modes



zero mode  
+ Gaussian



QZM + Gaussian.

*Justification* all "Gaussian"

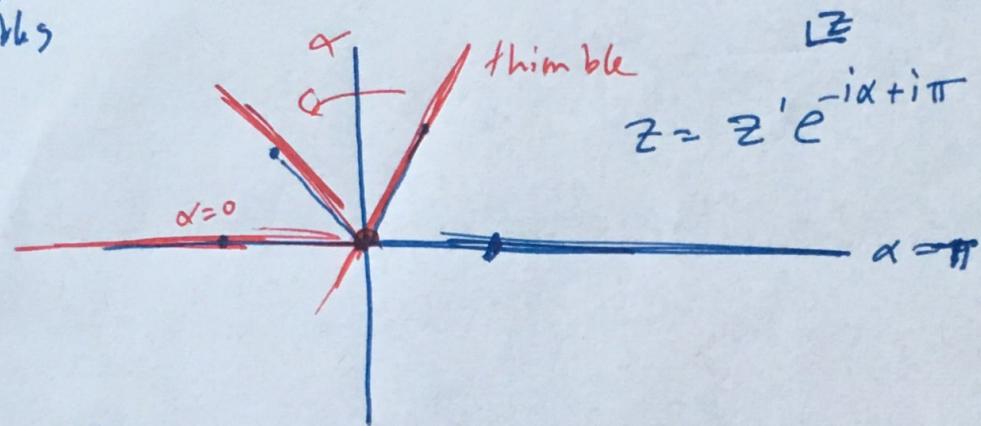
- Idea:* The "gaussian" part is usual perturbative modes  $\rightarrow$  can be done with usual pert. theory.  
*weak coupling*
- The ZM's and QZM's are the subtle part.

In fact, QZM are real issue, so, focus on them,  
and use Lefschetz - thimble idea: (38)

$$I(g^2, n_f) = \int_0^\infty dr r^2 \exp \left[ + \frac{4\pi L}{g^2 r} - 4n_f \log r \right].$$

Saddle:  $r = r_* = -\frac{e^{-i\alpha}}{2G(2n_f-1)}$

Change variables  $r \rightarrow z = \frac{r}{r_*}$



$$I = \int_0^\infty dr (\dots) \rightarrow \int_S dz (\dots)$$

Result:

$$\overline{I} = e^{-i(\pi-\alpha)(4n_f-3)} G^{-3+4n_f} \Gamma(4n_f-3)$$

valid for any  $\alpha \notin G$ !

We wanted  $\alpha=0$ , then

$$I_{\text{neutral}} = - G^{4n_f-3} \Gamma(4n_f-3)$$

$\nwarrow$   
integer  $n_f$ !

$$I_{\text{magnetic}} = + G^{4n_f-3} \Gamma(4n_f-3)$$

$\alpha=\pi$

Note that for e.g.  $n_f = 1$ , this leads to cancellation of sum of neutral & magnetic bin amplitudes. (39)

$n_f = 1$  SUSY theory; Behtash, Sulejmanpasic, Unsal 2015: this happens in NP contributions to vacuum energy for  $N=1$  SYM!

Minus sign coming from thimbles vital for avoiding NP development of vacuum energy  $\Rightarrow$  avoiding SUSY breaking.

---

What about resurgence?

Let's think of bosonic theory as  $n_f \rightarrow 0$  limit of QCD(Adj).

$$\int dA e^{-S(A) + n_f \underbrace{\text{tr} \log(\mathcal{D})}_{\text{R}}}$$

View  $n_f = \epsilon$  as a "regulator"-role will be clear shortly!

Focus on  $\epsilon$  NLO: purely bosonic case; implicit: double-tr 40  
to stabilize center.

$$I = \left( -\frac{G e^{i\alpha}}{\pi g^2} \right)^{4n_f - 3} \Gamma(4n_f - 3) \quad \text{near } \alpha = 0, \\ n_f = \epsilon \rightarrow 0$$

$$= \frac{1}{24} \left( \frac{1}{g^2} \right)^3 \left[ \frac{1}{\epsilon} - \frac{2}{3} \left( -11 + 6 \left[ \gamma_E + \log \left( \frac{-1}{g^2} \right) \right] \right) \right] + \mathcal{O}(\epsilon)$$

↑ divergence comes from uncorrelated  $M \bar{M}$  event!

$$M \sim \cancel{V}$$

$$M \bar{M} \sim \cancel{V}^2 + \cancel{V}$$

uncorrelated molecular part, correlated.

Dropping divergent (unambiguous) part, we get

$$[M_i \bar{M}_i] \approx \frac{-2}{24g^3} \left[ 6 \left( \gamma_E + \log \left( \frac{-1}{g^2} \right) \right) \right] e^{-\frac{2S_0}{g^2}}$$

$$[M; \bar{M};] \sim +\frac{2}{3} \cdot \frac{1}{(2g^2)^6} \left[ 6 \gamma_E + \log \left( \frac{-1}{g^2} \right) \right] e^{-2S_0}$$

$$\log \left( \frac{-1}{g^2} \right) = \log \left( \frac{1}{g^2} \right) \pm i\pi$$

$$\sim [M_i \bar{M}_i] \sim \dots \pm i\pi e^{-2S_0}$$

$$\text{But remember } e^{-2S_0} \sim e^{\frac{-2\pi^2 L}{5N}} = e^{-2.8\pi^2 \lambda} \quad (41)$$

This is an infrared Renormalon!

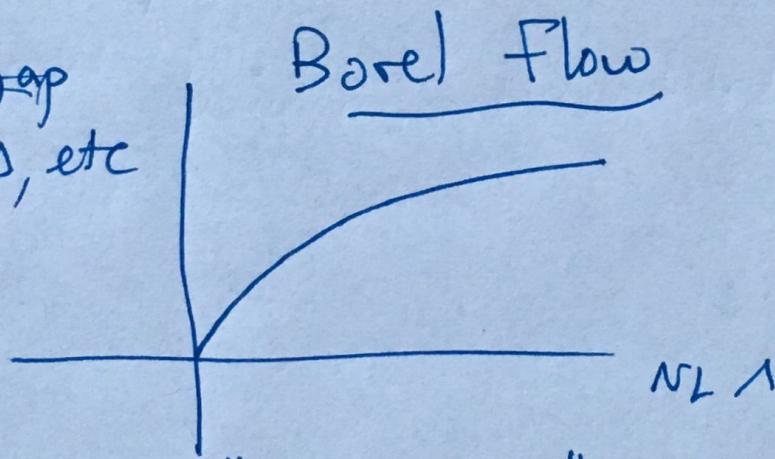
Compare magnitude to mass gap:

$$\begin{aligned} D^2 &\sim e^{-S_0} & \text{pure YM} \\ \Rightarrow D &\sim e^{-S_0/2} \end{aligned}$$

$$\Rightarrow \text{renorm} \sim D^4 = e^{-2S_0}.$$

Same as pattern in QCD on  $\mathbb{R}^4$ : gap  $\sim \Lambda$   
 renorm:  $\langle t F^2 \rangle \sim \Lambda^4$ .

~~We get~~ gap  
 $\Delta$ , etc



Computing the "Borel flow" = solving theory  
 on  $\mathbb{R}^4$ !

Can not show cancellations:

Even in abelianized  $\mathbb{R}^3$  theory, diagrams  
 too hard!

# 2D Sigma Models

(42)

Abandon 4D, look at 2D QFTs, using same trick!  $\mathbb{R}^2 \rightarrow \mathbb{R} \times S^1$ . Why?

Small L EFT = QM! (0+1 d QFT)

↳ know much more about pert. theory in QM!

Can actually show the leading resurgent cancellation.

Start with " $CP^{N-1}$  model". (Follow Dunne-Ursal, 2012)

$$S = \frac{2}{g^2} \int d^2x \left( D_\mu \vec{n} \right)^+ \left( D_\mu \vec{n} \right) \quad \vec{n} \cdot \vec{n} = 1$$

N-vector, complex.

$$D_\mu = \partial_\mu + i \vec{A}_\mu$$

constraint.

Note, " $SU(N)$ " Global symmetry,  $n \rightarrow k n$ .

$$\text{target } \left\{ \begin{array}{l} \frac{U(N)}{U(N-1) \times U(1)} \simeq CP^{N-1} \\ \beta_0 = N \end{array} \right.$$

$$\mathbb{R}^2 \xrightarrow{\sim} CP^{N-1}$$

- asymp. free!

- has mass gap,  $n \propto \lambda^{-\frac{4\pi}{\lambda}}$

- $\pi_2(CP^{N-1}) = \mathbb{Z}$ , has instantons

$$\cdot Q = \frac{i}{\pi} \int d^2x \epsilon_{\mu\nu} (D_\mu^M D_\nu^N)$$

- Affleck, 1980s: On  $\mathbb{R}^2 \times S^1$   $\hookrightarrow$  thermal
  - $\exists$  phase transition, at  $N \rightarrow \infty$

heuristic

$$Z(\beta) \sim 1 + \sum_{\text{fund}} N e^{-m\beta} \quad \leftarrow \text{free ptcls}$$

$\uparrow$   
fund of  $SU(N)$ .

$$\beta_{\text{crit}} \sim \log(N) m^{-1} \quad \mathbb{R}^2 \text{ and } \mathbb{R} \times S^1_{\text{small}}$$

not smoothly connected.

(Can we get around it?)

- $\mathbb{R}^2$  theory strongly coupled  $\sim$  no hope!
  - $\sim$  instanton gas makes no sense
  - $\sim$  PT can't be done.

Need analog of "center symmetric" compactification.

Dbrane-Unsal: Just do it. We have  $SU(N)$  global.

$$\mathbb{R}^2 \rightarrow \mathbb{R} \times \underbrace{S^1}_{\text{twisted}}$$

$$\bar{n}(x+L) = \int_L n(x) \quad \rightarrow \Gamma \in SU(N).$$

$\begin{cases} \text{"background holonomy"} \\ \text{"twisted boundary condition"} \\ \text{"Imaginary flavor chemical potentials"} \end{cases}$

$\mathcal{R} = 1 \rightarrow$  thermal, no good.

Could there be "better"  $\mathcal{R}$  choice?

it's up to us — we aren't trying to do finite  $T$ , want to minimize finite volume effects.

Ans: YES.  $\mathcal{R} = \left( \begin{smallmatrix} \omega_{wz} & \dots \\ \dots & \dots \end{smallmatrix} \right), \omega = e^{2\pi i / n}.$

Why? Several answers...

- Large  $L$  theory, no dependence on BCs due to gap!
- Can't maintain that at small  $L$ . But could ask at least  $\frac{\partial}{\partial(\text{BC})} \log z = 0$ .

$\Rightarrow$  motivates looking at  $\log z[\text{BCs}]$ .

$\Rightarrow$  Fun calculation, result:

$$-\log z = V(\mathcal{R}) = -\frac{2}{\pi^2 L^2} \sum_{n=1}^{\infty} \frac{1}{n^2} \left( (\pi \mathcal{R})^n - 1 \right).$$

Mark Weber

↳ "two" extrema,  $\mathcal{R} = 1$  BAD

the other is  $\mathcal{R} = \text{center-sym}$

might be good.

thermal  
extremum  
 $\Rightarrow N$  fold  
degenerate

↳ try.

(45)

Other perspective:

twisted ~~flat~~ partition function

$$\tilde{Z} = \text{Tr } \hat{Q} e^{-\beta H}$$

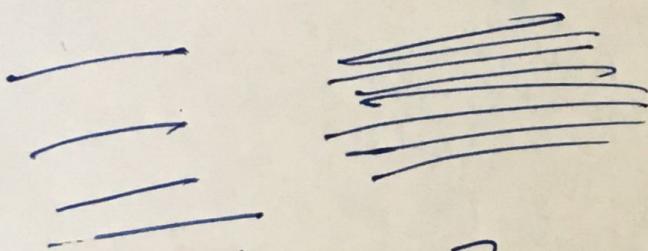
kills "fundamental" contribution ...

(AC+Yaffe, Sen, Wagnman)  
to appear.

Try it and see!

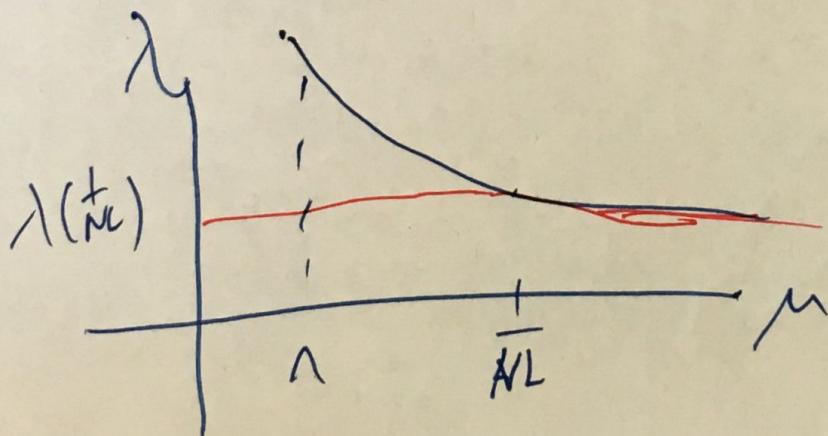
Consequences of twist:

$$kk \text{ spectrum } \sim \frac{2\pi}{L} k \Rightarrow \frac{2\pi}{NC} k$$



like gauge theory

$N \gg 1$ ,  $N L \gg 1$ , vol. ind  
 $N \ll 1$ ,  $N L \ll 1$ , control.



Integrate out  $kk$  modes, focus on  
zero mode.

Write  $\begin{pmatrix} n_1 \\ n_2 \end{pmatrix} = \left( e^{-i\phi/2} \cos \theta/2 \right) \begin{pmatrix} \cos \theta/2 \\ \sin \theta/2 \end{pmatrix}$   $\phi \in (0, \pi)$   
 $\theta \in (0, \pi).$

(CP)

$S = \frac{2}{g^2} \int (P_m n)^2 dx = \frac{2}{g^2} \int dx dt \cdot (\partial_m \theta)^2 + \sin^2 \theta (\partial_m \phi + \xi \delta_{m2})^2$

$\Downarrow$

$\frac{2L}{g^2} \int dx \left( (\partial_t \theta)^2 + \sin^2 \theta (\partial_x \phi)^2 + \xi^2 \sin^2 \theta \right)$

↑ potential

$\xi = \pi/L$  for CP1

This is QM.

What can we do with it?

Two directions : - Perturbative  
 - Non-perturbative.

Start with perturbative!

The small  $L$  EFT is a 0+1 dim. QFT  
 = QM!

Can use Hamiltonian Methods!

$$\mathcal{L} \approx \frac{g^2}{2} (\dot{\theta}^2 + \frac{g^2}{2} \sin^2 \theta + \sin^2 \phi \dot{\phi}^2)$$

$$\frac{\delta \mathcal{L}}{\delta \theta}, \frac{\delta \mathcal{L}}{\delta \dot{\theta}}, \frac{\delta \mathcal{L}}{\delta \phi}, \frac{\delta \mathcal{L}}{\delta \dot{\phi}}$$

↗  
momenta

$$H = P_\theta \dot{\theta} + P_\phi \dot{\phi} - \mathcal{L}$$

↙ rewrite in terms of  $P_\theta, P_\phi$ .

$$H = \frac{g^2}{2} P_\theta^2 + \frac{g^2}{2g^2} \sin^2 \theta + \frac{g^2}{2 \sin^2 \theta} P_\phi^2$$

Note,  $\phi$  is a cyclic coordinate.

- Emergent quantum number :  $P_\phi$  momentum  
 ↗ like "angular momentum" on internal space.

- Also have  $P: \theta \rightarrow \pi - \theta$  symmetry  
 Quantum #:  $+1, -1$ .

Recall,  $\theta \in [0, \pi]$ ,  $\phi \in [0, 2\pi]$ .

So, let's pick an observable:  
ground state energy.

We could also look at energy differences.

What are quantum #'s of ground state?

Answer:  $P = +, \phi = 0!$

Simplifies problem! Can neglect  $P\phi$  entirely!

$$H_{\text{eff}} = -\frac{1}{2} \frac{d^2}{d\theta^2} + \frac{\beta^2}{4g^2} [1 - \cos(g\theta)].$$

Mathieu equation!

We can get large order asymptotics by  
looking at . DLMF. nist.gov

- Stone & Reeve, 1978, PRD
- Ourselves.

(49)

Cant resist explaining "Bender-Wu" method,  
see recent paper, Ilınsal - Suljimampasic

1608.08256

↳ Mathematica Package "BenderWu".

Use it, love it.

How does it work ?

$$H\psi = \frac{1}{2} \psi''(x) + \frac{1}{g^2} V(g) \psi(x) = E \psi(x)$$

$$\uparrow \\ E = E(g).$$

Idea 1: Expand  $V$  around

harmonic minimum

$$V \sim V''(0) \overset{\underset{\omega}{\sim}}{x}^2 + \dots$$

$$\text{Then write } \psi(x) = u(x) e^{-\frac{\omega x^2}{2}}$$

(Treat later terms as pert.)

$$u(x) = \sum_{l=0}^{\infty} u_l(x) g^l$$

$$E = \sum_{n=0}^{\infty} E_n g^n.$$

Idea 2: Write  $u_\ell(x) = \sum_{k=0}^{k_\ell} A_\ell^k x^k$

Plug, equate powers,  
depends on level  
and on  $\ell$ .

Get recursion relation of  $A_\ell^k, E_k$

⇒ Recursive solution for  $\psi(x), E$ ,  
for any energy level.

⇒ vastly more efficient than eg. diagrams.

---

Go back to our  $\sin^2(\theta)$  problem.

Use this method, get

$$E_\theta^{(0)}(g^2) = \sum E_k^{(0)}(g)^k$$

$$E_k^{(0)} \sim -\frac{2}{\pi} \left(\frac{1}{4g}\right)^k k! \left(1 - \frac{5}{2}k + \dots\right)$$

Renormalon! (At generic  $N$ ,  
associated to  $\lambda$ .)

$$\Rightarrow BE(t) \rightarrow -\frac{2}{\pi} \left[ \sum_{n=1}^{\infty} \frac{(-1)^n}{n} e^{-\frac{t}{4g}} \right] \sim -\frac{2}{\pi} \frac{1}{1 - \frac{t}{4g}} \quad (51)$$

$$\Rightarrow S_0 \pm \sum_{n=1}^{\infty} \frac{(-1)^n}{n} g^n = \text{Re}(\dots) \pm \frac{8g}{g^2} e^{-\frac{4g}{g^2}} e^{-\frac{8\pi}{g^2 N}}$$

Renormalon!

So who cancels it? Back to NP dynamics.

$$\pi_2(\mathbb{C}\mathbb{P}^{N-1}) = \mathbb{Z} \Rightarrow \exists \text{ instantons (BPS)}$$

$$S_{\text{inst}} = \frac{4\pi}{g^2} = \frac{4\pi N}{\lambda} \rightarrow \infty \text{ at } N=\infty$$

So  $S_{\text{inst}}$  irrelevant at large  $N$ !

~~Also on  $\mathbb{R}^4$~~  Also, instantons have

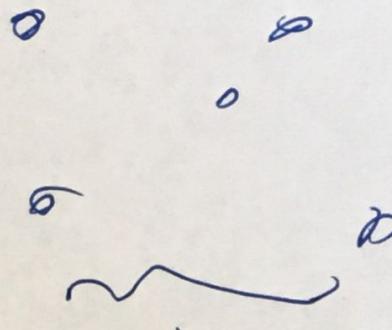
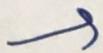
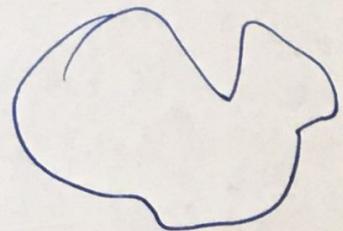
size modulus (zero mode), so no "size"

$\Rightarrow$  can't be separated

$\Rightarrow$  instanton gas picture is stupid.

What happens on  $\mathbb{R}^* \times S^1_{\text{twist}}$ ? (52)

instanton



$N$  constituents!

↳ "kink-instantons"

"fractans"

"instanton quarks"

$$S = \frac{4\pi}{g^2 N} ; \quad N-1 \leftrightarrow \text{simple roots of } \text{su}(N) \bar{x}_i$$

~~Q~~  $\circlearrowleft$  ~~Q~~ affine root  
only present due to ~~Q~~ 2D nature.

$$\text{Size : "M}_W\text{"} = \frac{2\pi}{NL}, \text{ fixed!}$$

Dilute gas picture has a chance,  
given that ~~also~~ we also have weak  
coupling at small  $L$ !

Explicit solutions

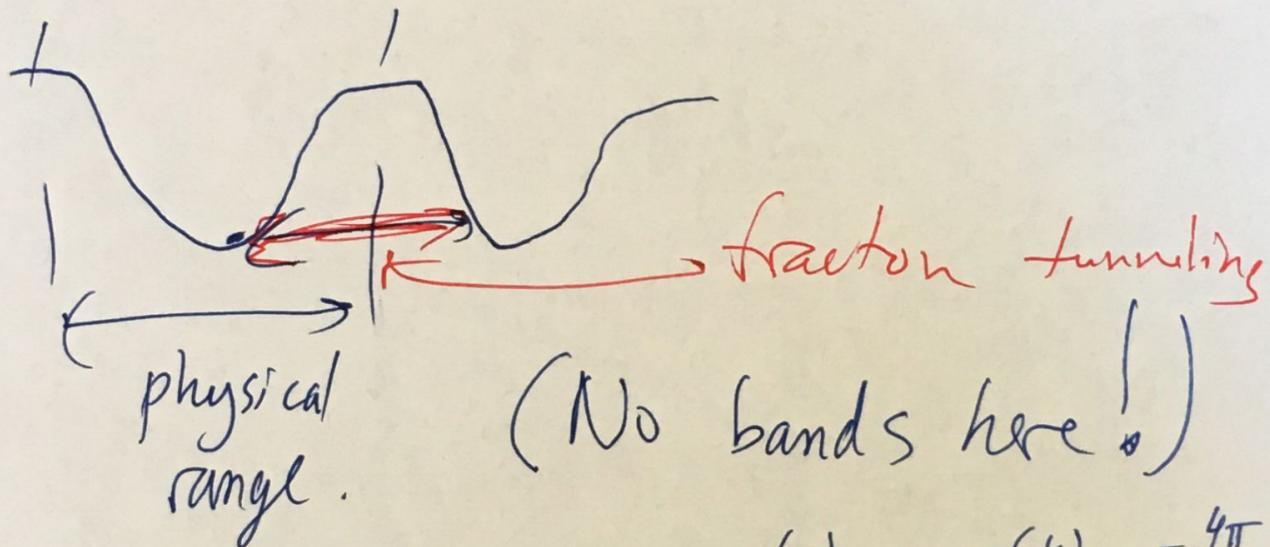
(P)

$$\theta = 2\arctan \left( e^{-\frac{\zeta}{2}(t-t^*)} \right)$$

$$\phi = \phi_0 \quad \text{in zero modes}$$

You won't be surprised by now that these "fractons" generate the gap.

ground :  $P=+$ ,  $\phi=0$   
 1<sup>st</sup> excited :  $P=-$ ,  $\phi=0$ .



Can be calculated, gap =  $E^{(-)} - E^{(+)} \sim e^{-\frac{4\pi}{\lambda}}$ !

Where's the renormalon cancellation?



Need to consider "neutral bins" again (54)

$$M_i \quad \bar{M}_i$$

$\leftarrow \rightarrow$

Plug widely-separated monopole instantons,  
compute action.

$$\Rightarrow S = 2 \cdot \frac{4\pi}{\lambda} + V_{\text{eff}}(\tau)$$

"saddle at infinity"      ↗ fluctuation-

Now we have to sum  $\tau$ :

$$[M_i \bar{M}_i]_n \sim e^{-\frac{8\pi}{\lambda}} \int d\tau e^{-V_{\text{eff}}}$$

$$V_{\text{eff}} = -8 \frac{\zeta L \vec{\alpha}_i \cdot \vec{\alpha}_j}{\zeta^2} e^{-\frac{\zeta T}{T}} + 2N_f \frac{\zeta T}{T}$$

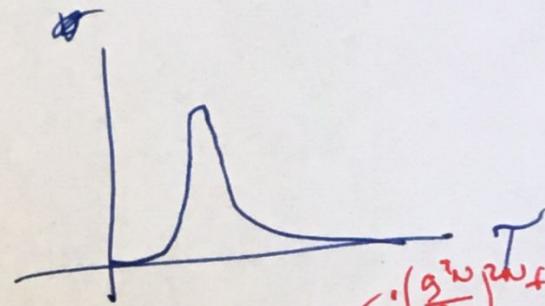
$\uparrow$        $\uparrow$   
 "  $\tau$  exchange"      fermion  
 zero mode  
 exchange

First imagine we arbitrarily look at

(55)

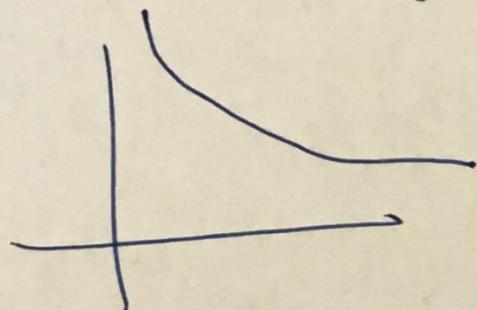
$$V_{\text{eff}} \sim + \frac{4}{g^2} g_L e^{-\xi T} + 2N_f \xi T$$

$$J = \int_{-\infty}^{\infty} dT e^{-V_{\text{eff}}(T)}$$



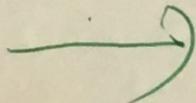
$$I = \left(\frac{g^2 N}{8\pi}\right)^{2N_f} \Gamma(2N_f), \quad \text{if } \int_{-\infty}^{\infty} \left(\frac{g^2 N}{8\pi}\right)^{2N_f} \left(\Gamma(2N_f) - \Gamma(2N_f, -\frac{8\pi}{\lambda})\right) \text{ wrong!}$$

But for us,  $V_{\text{eff}} = - \frac{4}{g^2} g_L e^{-\xi T} + 2N_f \xi T$

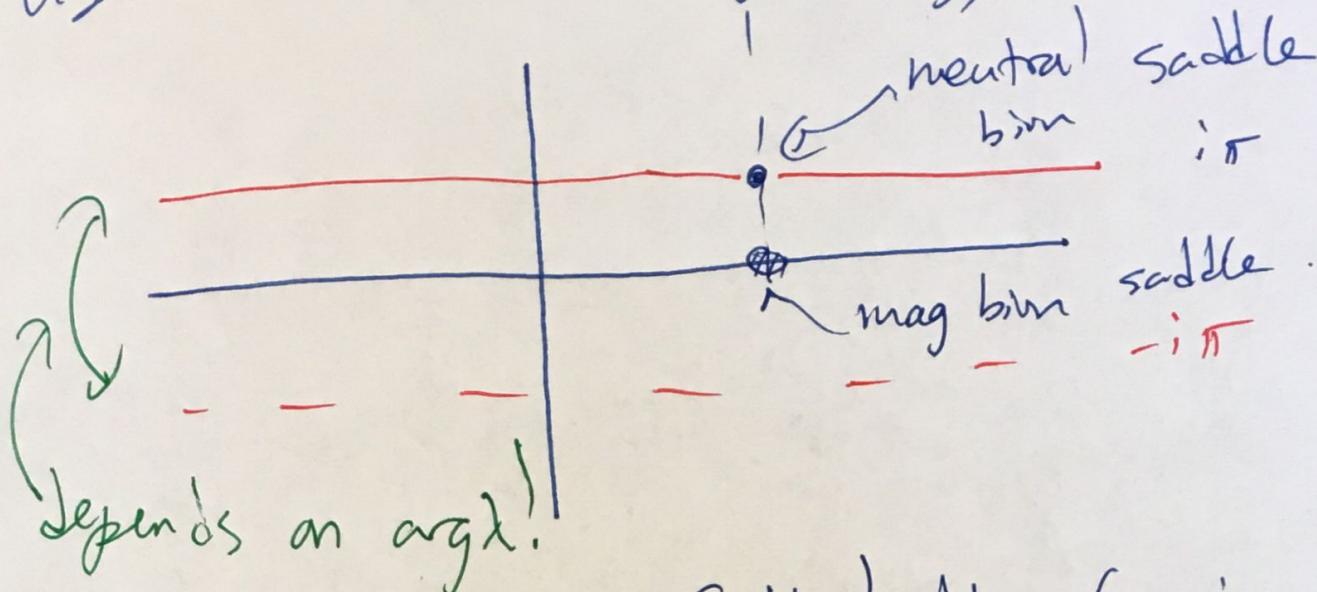


Disaster again.

Same solution!



Use thimbles :  $\log(4w^3/g)$



NB : Thimble infinite! No log in action!

You must integrate all the way.  
Let's get match to eg. uniform WKB.

Do integral, result is

$$\sim \left( -\frac{g^2 N}{8\pi} \right)^{2N_f} \Gamma(2N_f) \cdot e^{-\frac{8\pi}{\lambda}}$$

$$\sim \left[ \frac{1}{2E} + (-\gamma_E \pm i\pi + \log(\frac{8\pi}{\lambda})) \right] e^{-\frac{8\pi}{\lambda}}$$

renormalization

~~0~~

If we now do everything carefully,  
can verify that

(57)

$$S_{\pm} P + e^{-\frac{8\pi i}{\lambda}} (\text{Thimble}_{\pm}) \quad \text{as expected}$$
$$= \underbrace{\text{Real}}_{\text{from } P+N\bar{P}} + \left( \overline{f}(\cdots) \pm (\cdots) \right) \quad \text{O} \quad \begin{array}{l} \downarrow \\ \longleftarrow \end{array}$$

renorm  
= gap · 2

Cancellation

of renormalon ambiguity, in a QFT,  
using semi-classics.

---

Challenges:

- Do this to all orders.

Not been done

- Extend to large  $L$

- Solve a CD

---

## Switch gears -

(58)

Rephrasing.  $\mathbb{C}P^{n-1}$  has instantons  
• So does  $\text{PCM}$ .

• They fractionalize, drive  
renormalons, and mass gaps.

---

But some QFTs don't have instantons,  
but do have mass gaps, renormalons  
Then what!? Who fractionalizes?

---

Stick to 2D, look at PCM -

$$S = \frac{1}{2} \int d^2x + \partial_\mu d^\mu C^\dagger$$



- Asymp free.

- Has gap.

- Integrable.

- Matrix-like (large  $N$  limit):  $U \in SU(N)!$

- has  $SU(N)_L \times SU(N)_R$  symmetry

- $D=2$  reduction of  $X$ -PT, with  $\frac{f_0^2}{\lambda} = \frac{1}{2}$   
dimensionless.

---

But  $\pi_2(SU(N)) = 0$ .

No instantons. What to do?

Turns out,  $\exists$  unitons!

$$\zeta = \frac{8\pi}{\lambda} k, \quad k \in \mathbb{Z}.$$

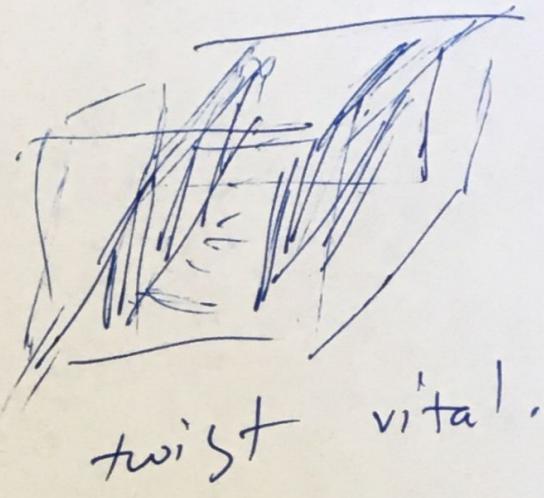
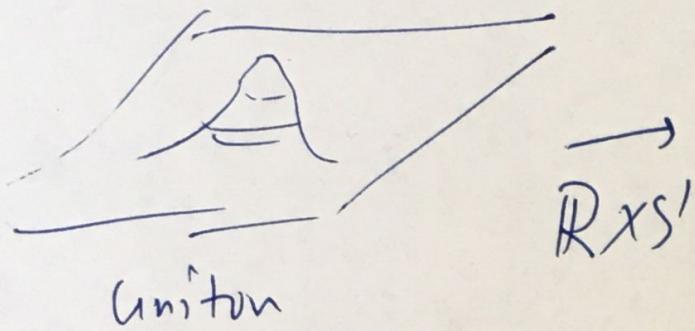
NOT BPS, solve 2<sup>nd</sup> order EoM.

associated to  $\pi_3(SU(N)) = \cancel{\mathbb{Z}}$ .

---

Discovered by Uhlenbeck, 1984, (60)

$$CP^{N-1} \xrightarrow{\text{geodesic}} SU(N)$$



If we do  $\mathbb{Z}_N$  twist

$$U(x+L) = \bigcup_{\tau} U^{(\tau)} \bigcap_{\tau}^+$$

tors center sym.

get fractionalization -

why this twist? same argument as  
before : ~ extremize  $\log Z$ ,  
minimize finite vol effects.

~ get smooth L dep

Can analyze just like  $\mathbb{C}P^{N-1}$  (61)

• Fractions drive mass gap on  $\mathbb{R} \times S^1_L$ .

•  $[F; \bar{F}_i] \sim \text{renormalons!}$

• ambiguity cancellation, ✓

$J^2 \sim \text{renormalon, again as expected.}$

$J \sim "1"$  renormalon  $\langle \partial_\mu U \partial^\mu U \rangle \sim "1^2"$ .

• Can't be an accident!

Can also do it for  $O(N)$ , Grassmannians, etc

## Conclusion:

- ,  $\exists$  resurgence in non-super-symmetric QFT's!
- v related to mass gap-
- , Thimbles vital to get it right.

## To do:

- , Deeper understanding?
- , Higher orders?
- , Other theories?
- , larger  $L$ ?
- , Solve YM! ~~ex~~

