

On-Shell Methods in Field Theory

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Lecture I

Tools for Computing Amplitudes

- Focus on gauge theories
 - ...but they are useful for gravity too
- Motivations and connections
 - Particle physics
 - $\mathcal{N}=4$ supersymmetric gauge theories and AdS/CFT
 - Witten's twistor string

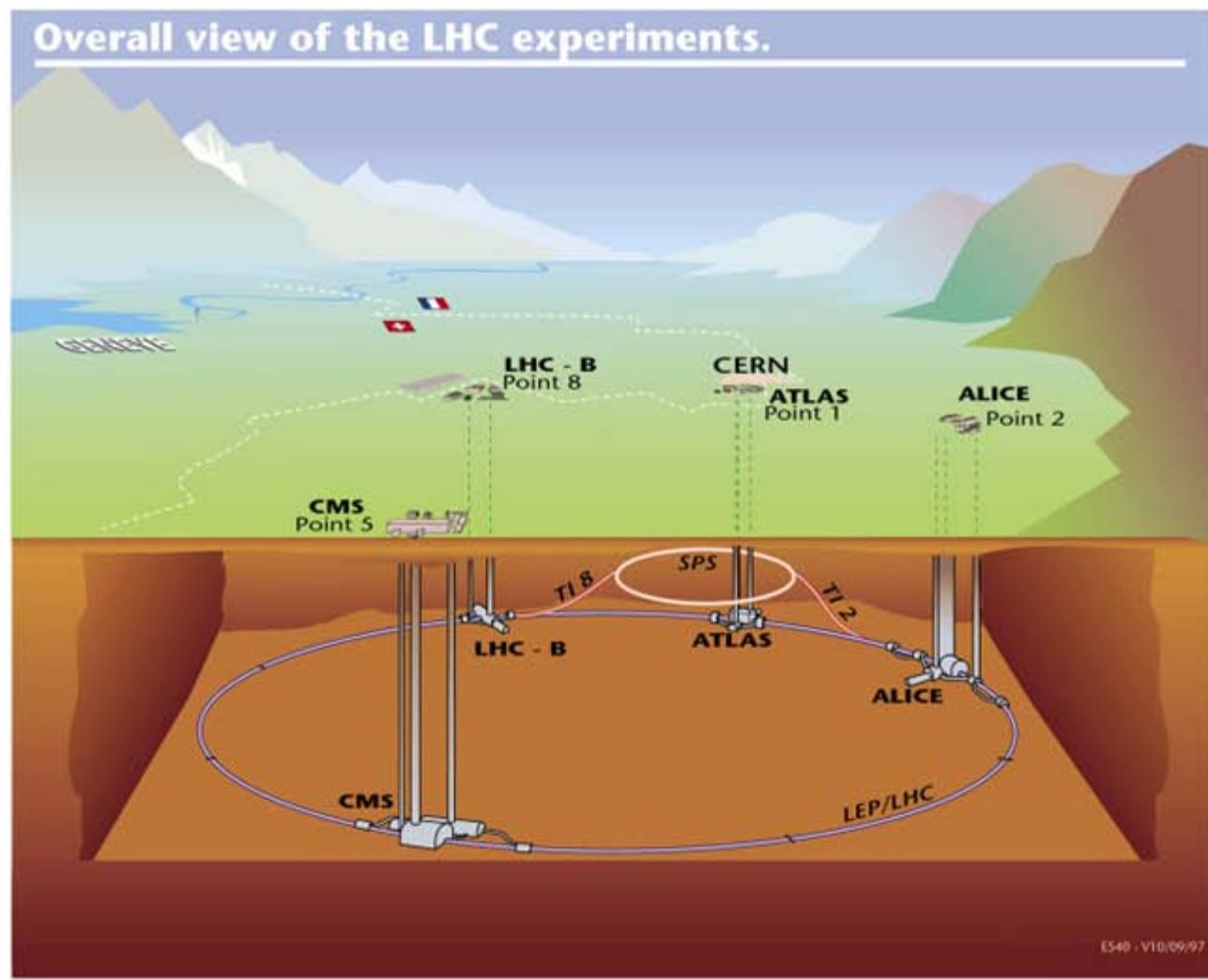
Particle Physics

- Why do we
- Why do we
- What quanti



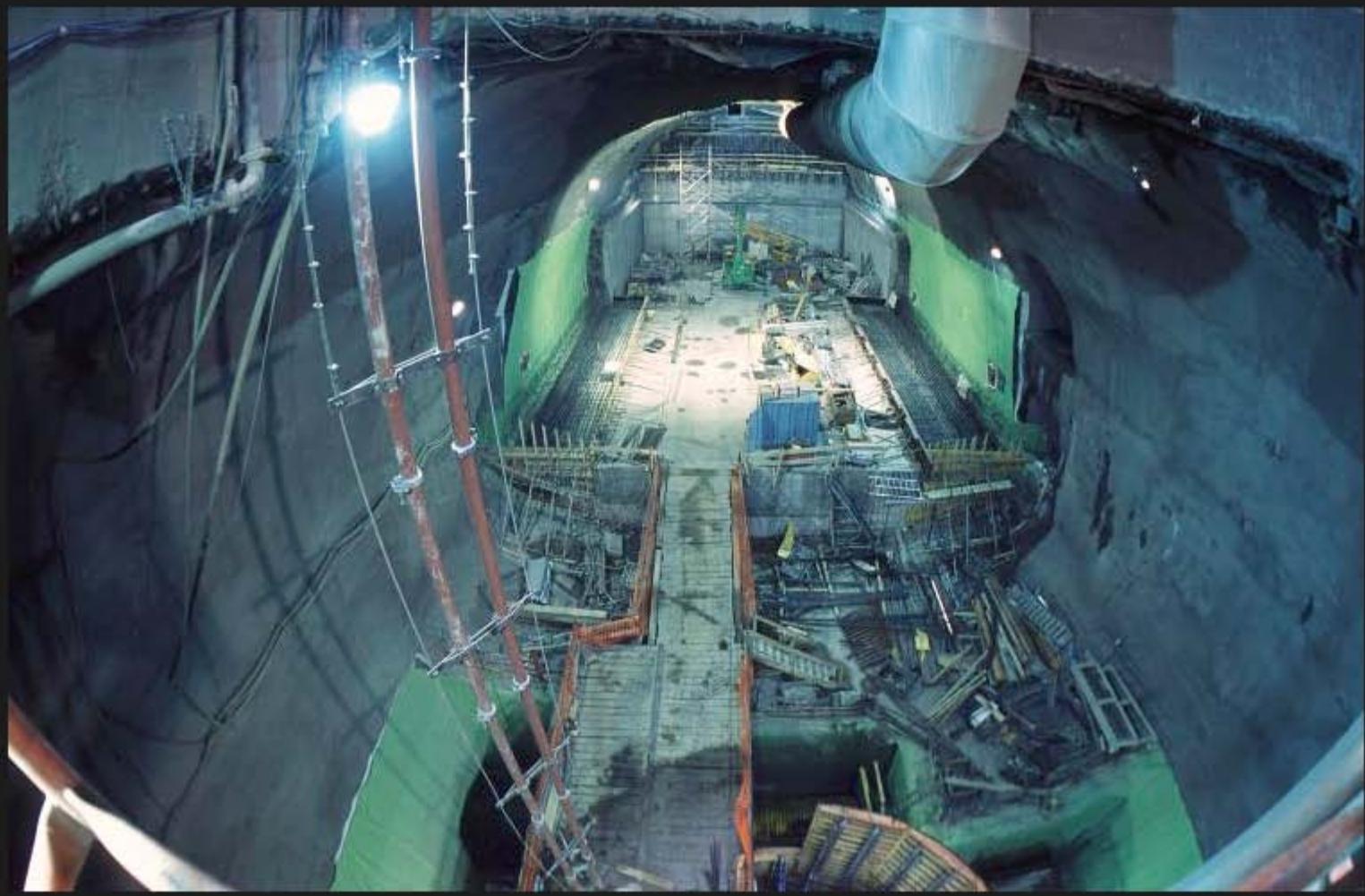
Now 450 to 600 days away...

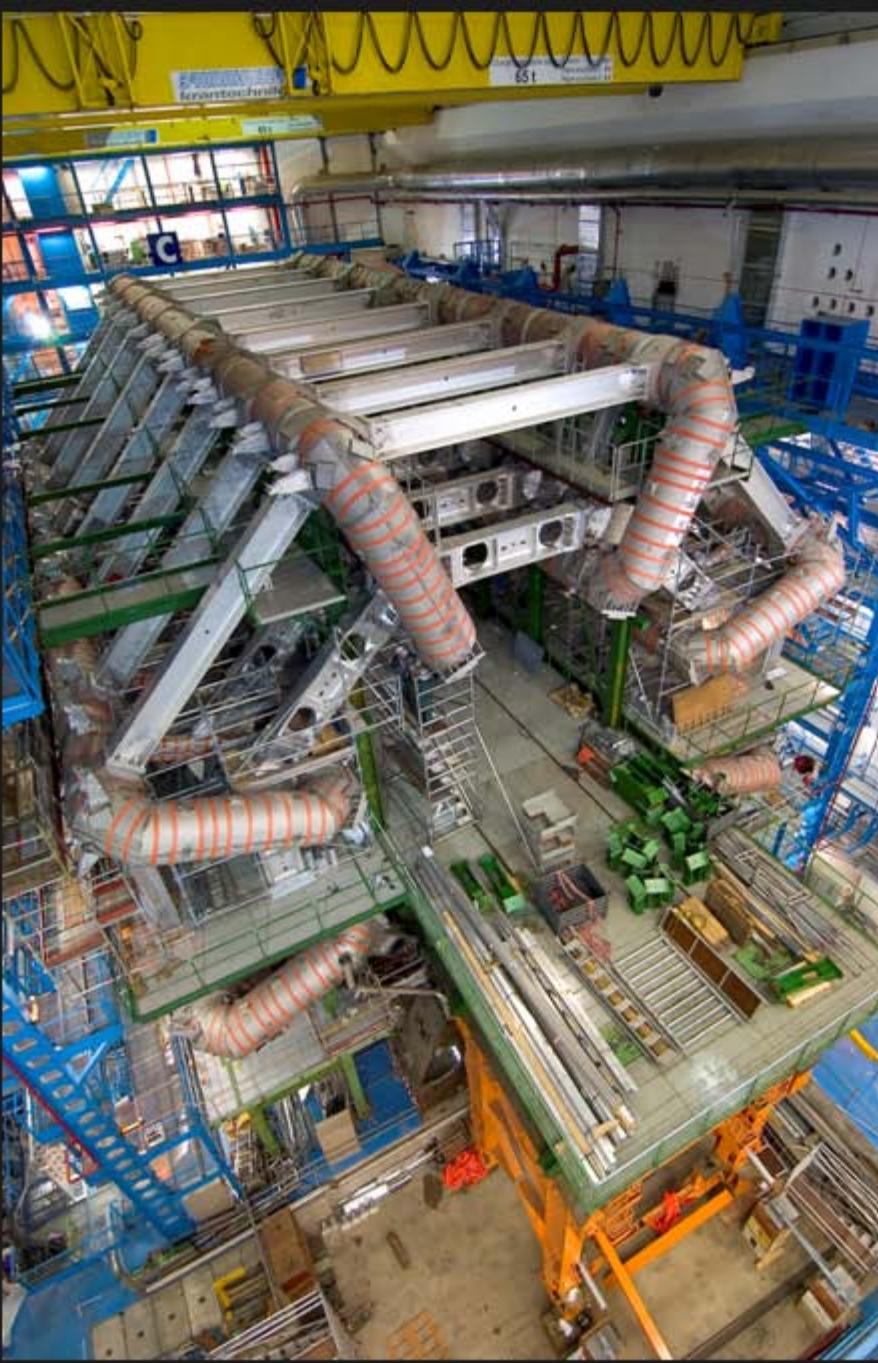
Overall view of the LHC experiments.



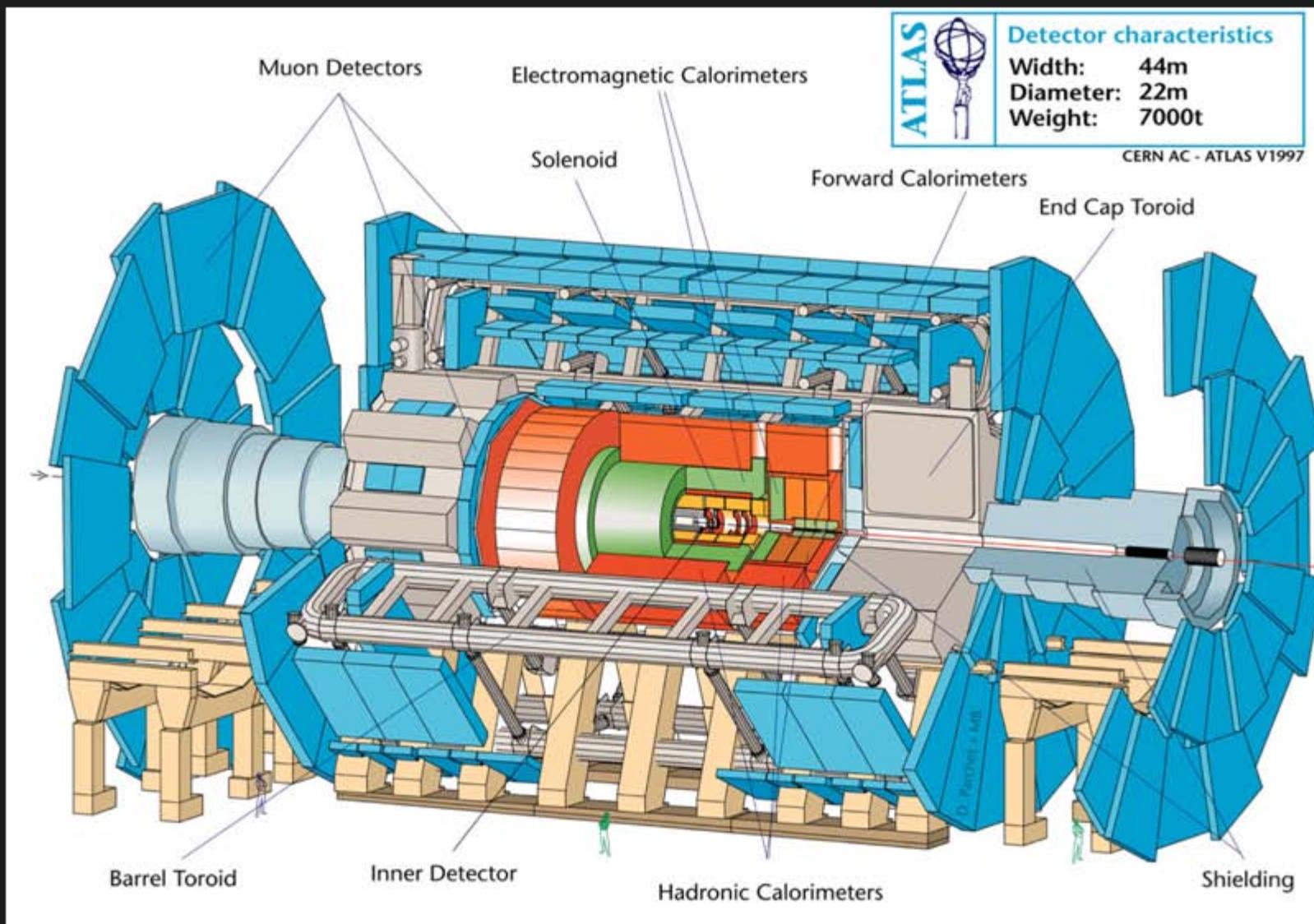
ES40 - V10/09/97



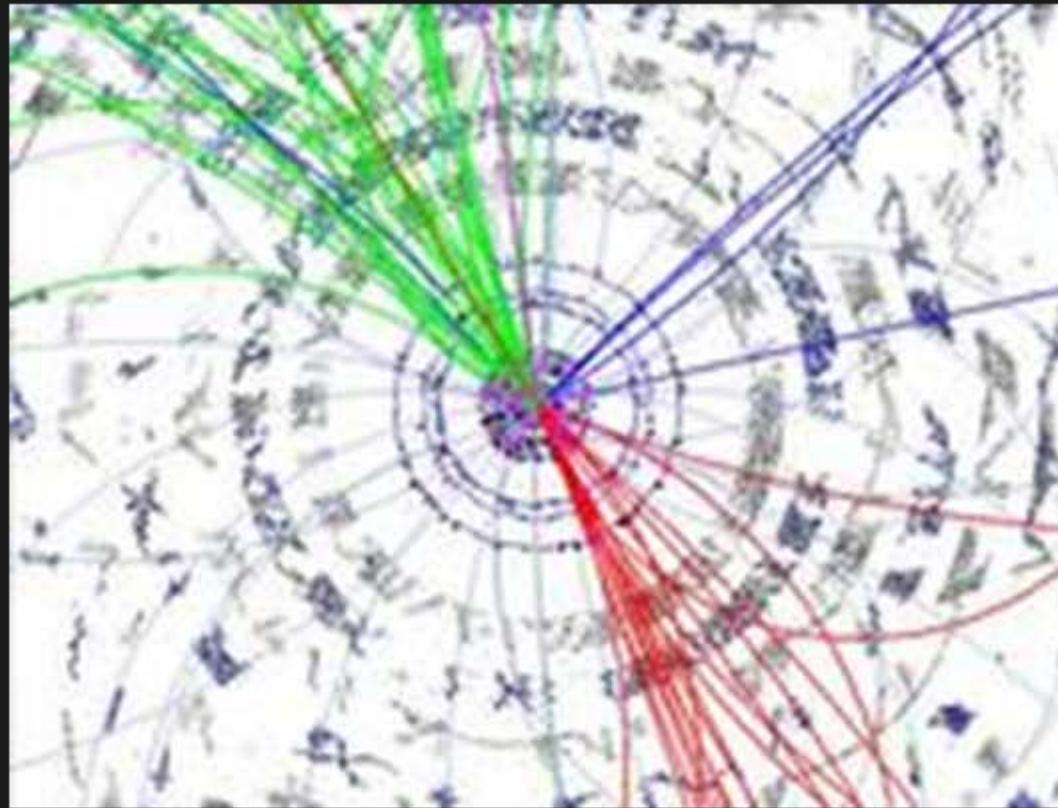


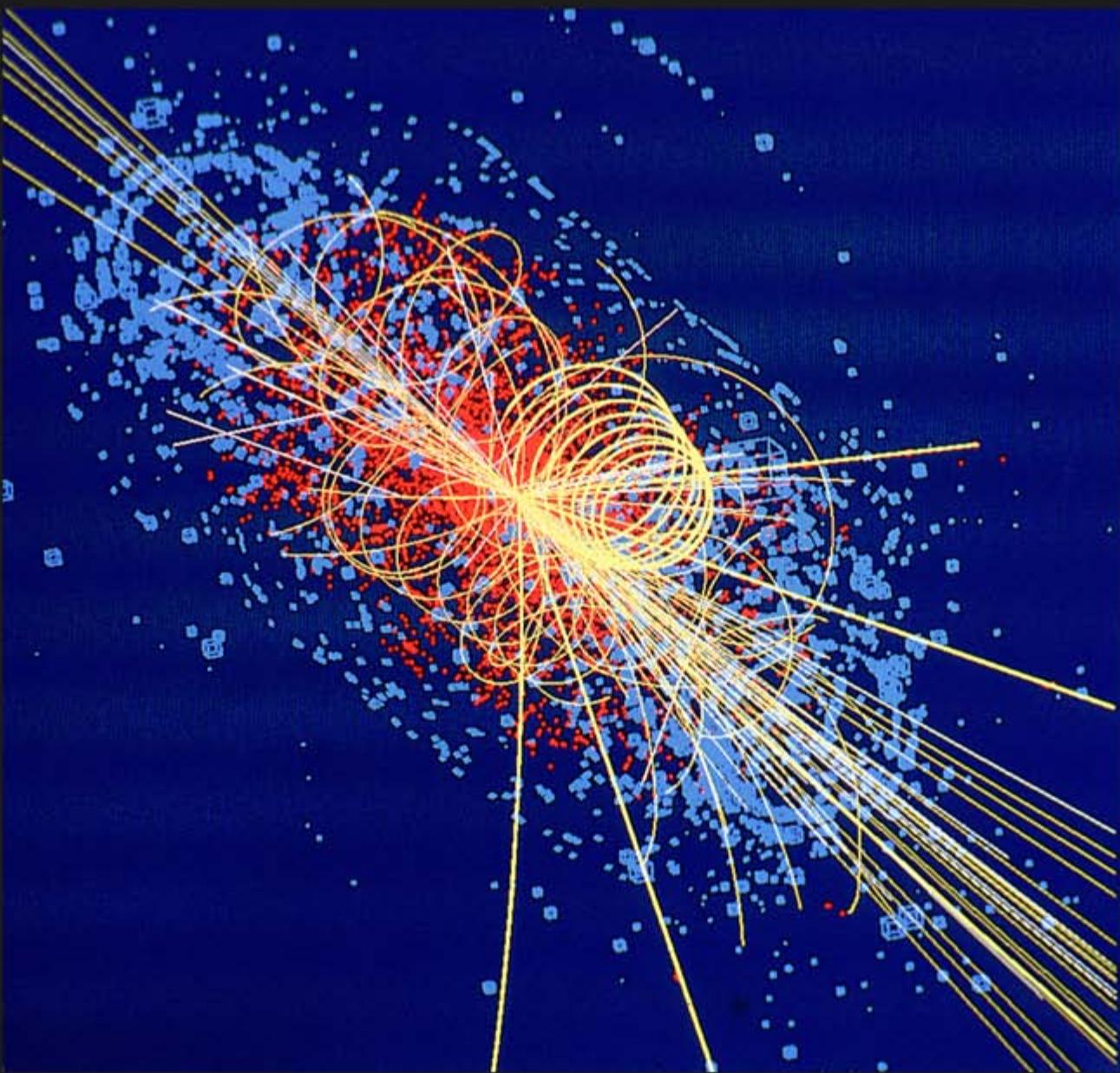


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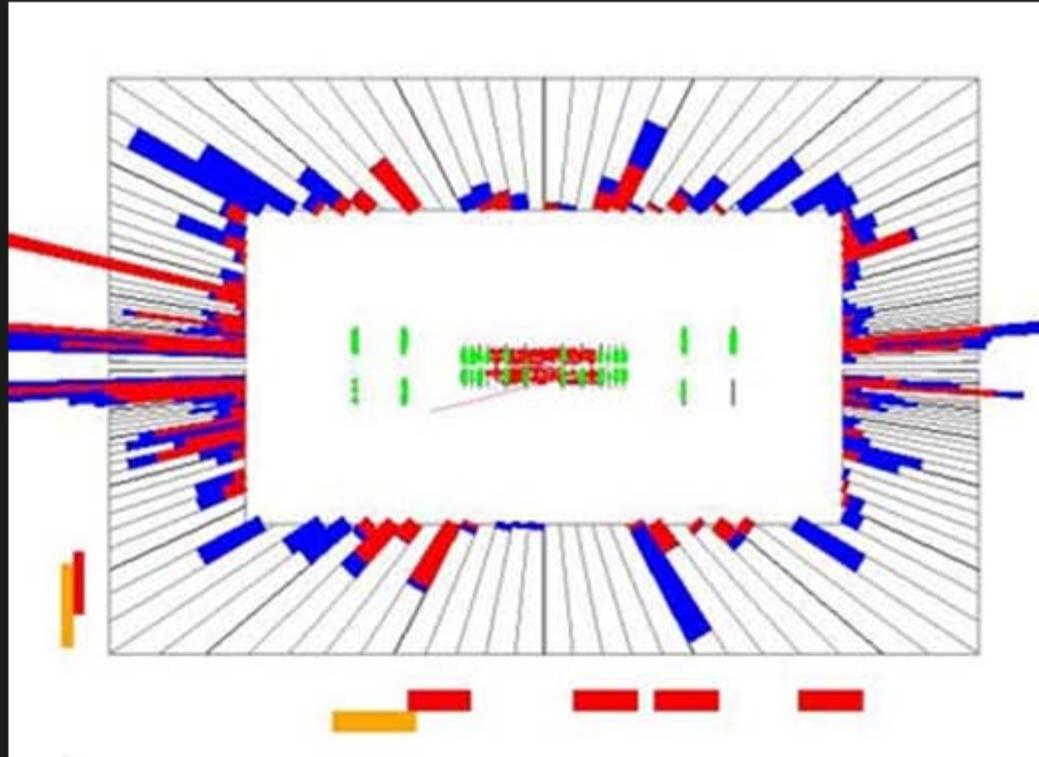
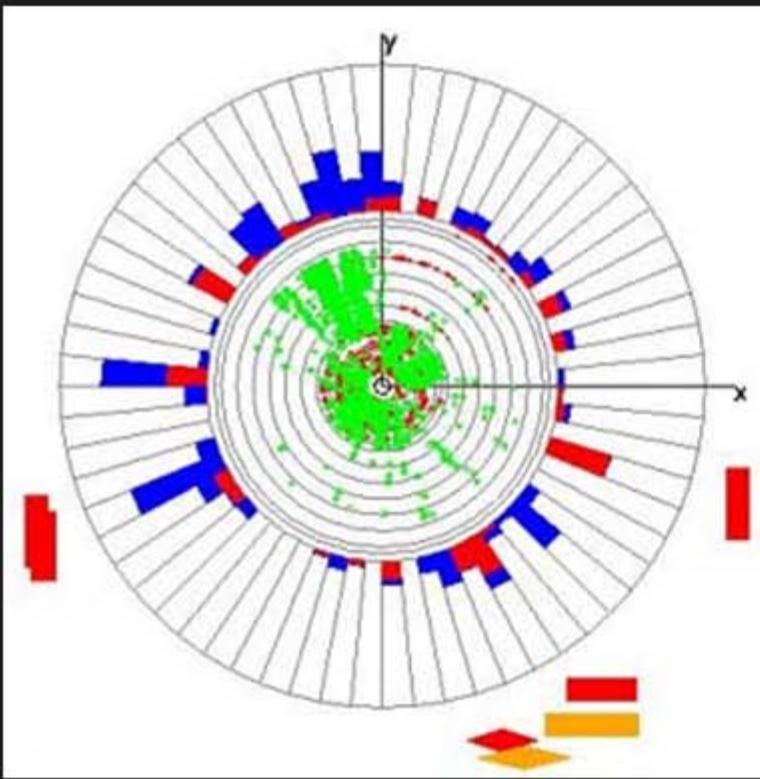


CDF event





CMS



$SU(3) \times SU(2) \times U(1)$ Standard Model

- Known physics, and background to new physics
- Hunting for new physics beyond the Standard Model
- Discovery of new physics
- Compare measurements to predictions — need to calculate signals
- Expect to confront backgrounds
- Backgrounds are large



Event rates



Event production rates at $L=10^{33} \text{ cm}^{-2} \text{ s}^{-1}$ and statistics to tape

Process	Events/s	Evts on tape, 10 fb^{-1}
$W \rightarrow e\nu$	15	10^8
$Z \rightarrow ee$	1	10^7
$t\bar{t}$	1	10^6
gluinos, $m=1 \text{ TeV}$	0.001	10^3
Higgs, $m=130 \text{ GeV}$	0.02	10^4
Minimum bias	10^8	10^7
$b\bar{b} \rightarrow \mu X$	10^3	10^7
QCD jets $p_T > 150 \text{ GeV}/c$	10^2	10^7

assuming 1%
of trigger
bandwidth

- ⇒ statistical error negligible after few days!
- ⇒ dominated by systematic errors (detector understanding, luminosity, theory)

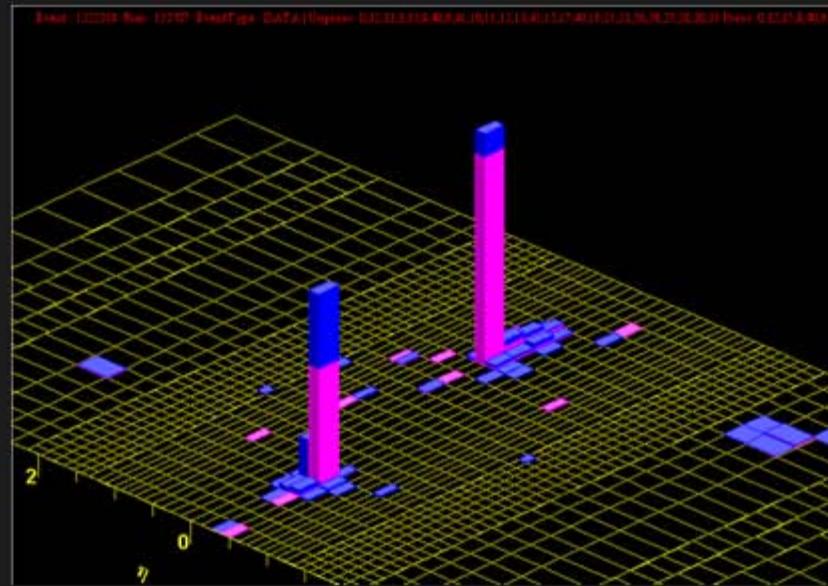
Hunting for New Physics

- Yesterday's new physics is tomorrow's background
- To measure new physics, need to understand backgrounds in detail
- Heavy particles decaying into SM or invisible states
 - Often high-multiplicity events
 - Low multiplicity signals overwhelmed by SM:
 $\text{Higgs} \rightarrow b\bar{b} \rightarrow 2 \text{ jets}$
- Predicting backgrounds requires precision calculations of known Standard Model physics

- Complexity is due to QCD
- Perturbative QCD:
 $\text{Gluons \& quarks} \rightarrow \text{gluons \& quarks}$
- Real world:
 $\text{Hadrons} \rightarrow \text{hadrons}$ with hard physics described by pQCD
- Hadrons \rightarrow jets *narrow nearly collimated streams of hadrons*

Jets

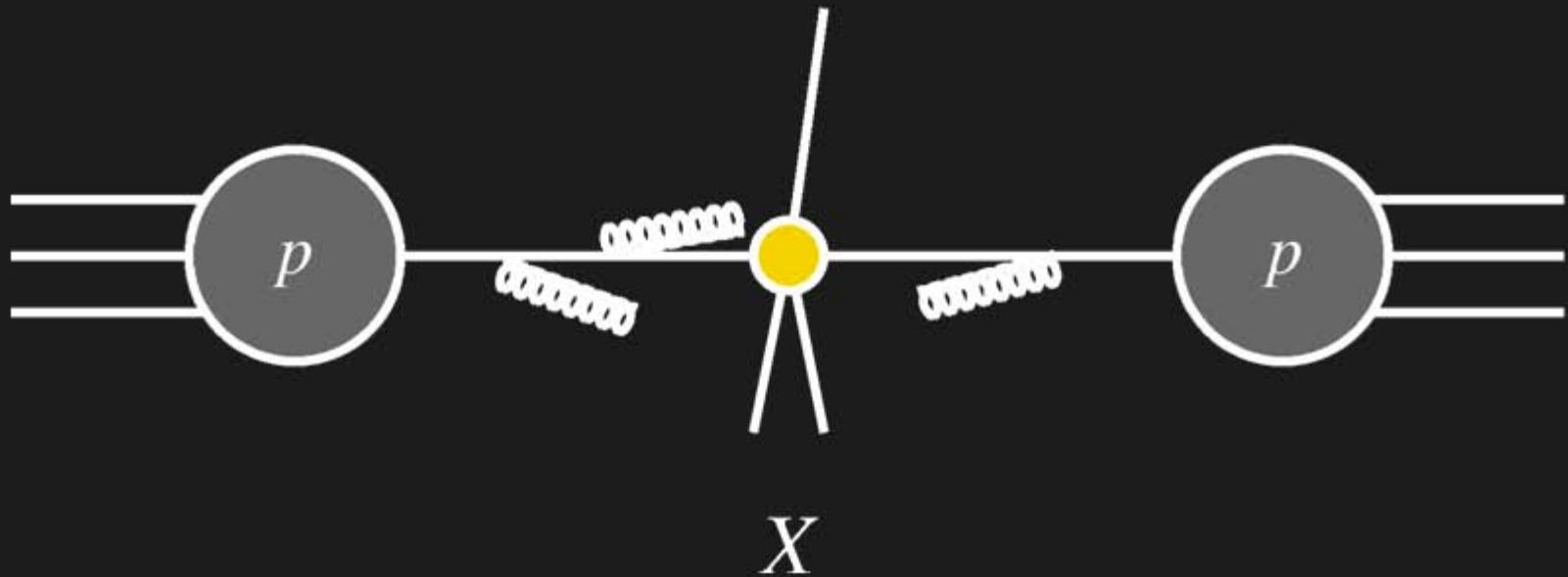
- Defined by an experimental resolution parameter
 - invariant mass in e^+e^-
 - cone algorithm in hadron colliders: cone size in $R = \sqrt{(\Delta\eta)^2 + (\Delta\phi)^2}$ and minimum E_T
 - k_T algorithm: essentially by a relative transverse momentum



CDF (Lefevre 2004)
1374 GeV

In theory, theory and practice are the same. In practice, they are different — Yogi Berra

QCD-Improved Parton Model



$$\int dx_a dx_b d\text{Phase } f_a f_b \sigma_{ab} \delta(v - \text{Observable})$$

The Challenge

- Everything at a hadron collider (signals, backgrounds, luminosity measurement) involves QCD
- Strong coupling is not small: $\alpha_s(M_Z) \approx 0.12$ and running is important
 - ⇒ events have high multiplicity of hard clusters (jets)
 - ⇒ each jet has a high multiplicity of hadrons
 - ⇒ higher-order perturbative corrections are important
- Processes can involve multiple scales: $p_T(W)$ & M_W
 - ⇒ need resummation of logarithms
- Confinement introduces further issues of mapping partons to hadrons, but for suitably-averaged quantities (infrared-safe) avoiding small E scales, this is not a problem (power corrections)

Approaches

- General parton-level fixed-order calculations
 - Numerical jet programs: general observables
 - Systematic to higher order/high multiplicity in perturbation theory
 - Parton-level, approximate jet algorithm; match detector events only statistically
- Parton showers
 - General observables
 - Leading- or next-to-leading logs only, approximate for higher order/high multiplicity
 - Can hadronize & look at detector response event-by-event
- Semi-analytic calculations/resummations
 - Specific observable, for high-value targets
 - Checks on general fixed-order calculations

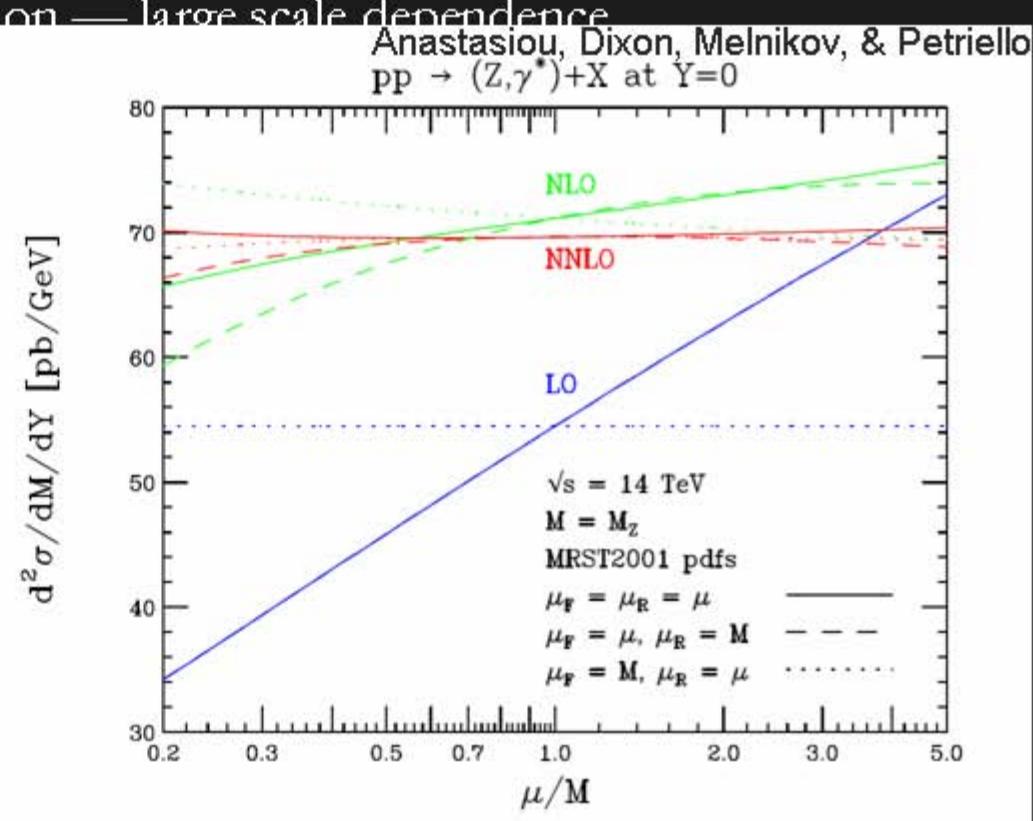
Precision Perturbative QCD

- Predictions of signals, signals+jets
- Predictions of backgrounds
- Measurement of luminosity
- Measurement of fundamental parameters (α_s , m_t)
- Measurement of electroweak parameters
- Extraction of parton distributions — ingredients in any theoretical prediction

**Everything at a hadron
collider involves QCD**

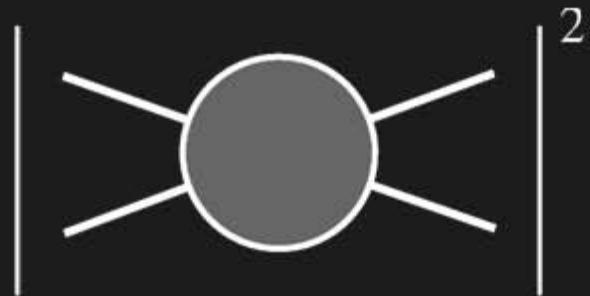
Leading-Order, Next-to-Leading Order

- LO: Basic shapes of distributions
but: no quantitative prediction — large scale dependence
missing sensitivity to jet
- NLO: First quantitative prediction
improved scale dependence
basic approximation to NNLO
- NNLO: Precision predictions
small scale dependence
better correspondence
understanding of theory



What Contributions Do We Need?

- Short-distance matrix elements to 2-jet production at leading order: tree level



- Short-distance matrix elements to 2-jet production at next-to-leading order: tree level + one loop + real emission

$$2 \operatorname{Re} \left[\text{Diagram A} * \text{Diagram B} \right]$$


 $|$ ²

Real-Emission Singularities

$$e^+ e^- \rightarrow q\bar{q}g$$

Matrix element

$$|\mathcal{M}|^2 \propto \frac{(2 - y_{qg})^2 + (2 - y_{\bar{q}g})^2}{y_{qg} y_{\bar{q}g}}$$

Integrate

$$\int_{0 \leq y_{qg} + y_{\bar{q}g} \leq 1} dy_{qg} dy_{\bar{q}g} |\mathcal{M}|^2$$

$$y_{qg} = 0 = y_{\bar{q}g} \quad \text{soft}$$

$$y_{qg} = 0, y_{\bar{q}g} \neq 0 \quad \text{collinear}$$

$$y_{qg} \neq 0, y_{\bar{q}g} = 0$$

- Physical quantities are finite
- Depend on resolution parameter
- Finiteness thanks to combination of Kinoshita–Lee–Nauenberg theorem and factorization

Scattering

Scattering matrix element

$$\text{out} \langle p_1 p_2 \cdots | k_a k_b \rangle_{\text{in}} \equiv \text{asymp} \langle p_1 p_2 \cdots | S | k_a k_b \rangle_{\text{asymp}}$$

Decompose it $S = 1 + iT$

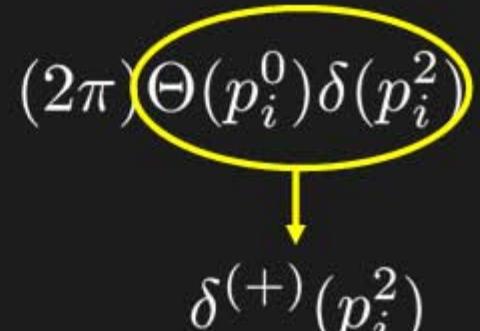
Invariant matrix element \mathcal{M}

$$\langle p_1 p_2 \cdots | iT | k_a k_b \rangle = (2\pi)^4 \delta(k_a + k_b - P) i \mathcal{M}(k_a, k_b \rightarrow \{p_f\})$$

Differential cross section

$$d\sigma = \frac{1}{4E_a E_b |v_a - v_b|} \prod_f \int \frac{d^3 p_f}{(2\pi)^3} \frac{1}{2E_f} \\ \times (2\pi)^4 \delta^4(k_a + k_b - P) |\mathcal{M}(k_a, k_b \rightarrow \{p_f\})|^2$$

Lorentz-invariant phase-space measure

$$\int \frac{d^3 p_i}{(2\pi)^3} \frac{1}{2E_i} F(p_i) = \int \frac{d^4 p_i}{(2\pi)^4} (2\pi) \Theta(p_i^0) \delta(p_i^2)$$

$$\delta^{(+)}(p_i^2)$$

Compute invariant matrix element by crossing

$$\begin{aligned}\mathcal{M}(k_a, k_b \rightarrow \{p_f\}) &= \mathcal{M}(0 \rightarrow -k_a, -k_b, \{p_f\}) \\ &= \mathcal{F} \langle \Omega \mid T\phi_a(x_a)\phi_b(x_b)\phi_1(x_1)\cdots \mid \Omega \rangle\end{aligned}$$

Lagrangian

$$\begin{aligned}\mathcal{L} = & -\frac{1}{4} (\partial_\mu G_\nu^a - \partial_\nu G_\mu^a) (\partial^\mu G^{a\nu} - \partial^\nu G^{a\mu}) \\ & \sum_f \bar{q}(i\partial)q + \frac{g}{\sqrt{2}} G_\mu^a \sum_f \bar{q} \gamma^\mu T^a q \\ & - \frac{g}{2} f^{abc} (\partial^\mu G^{a\nu} - \partial^\nu G^{a\mu}) G_\mu^b G_\nu^c \\ & - \frac{g^2}{4} f^{abe} f^{cde} G^{a\mu} G^{b\nu} G_\mu^c G_\nu^d\end{aligned}$$

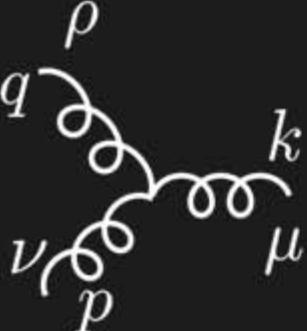
Feynman Rules

Propagator (like QED)



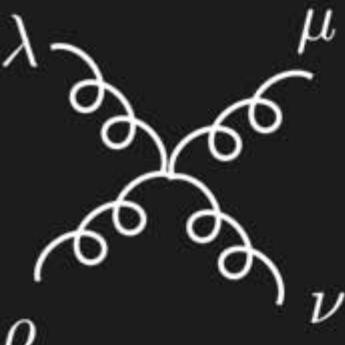
$$-\frac{i}{k^2 + i\epsilon} \delta^{ab} \left(g_{\mu\nu} - (1 - \xi) \frac{k_\mu k_\nu}{k^2} \right)$$

Three-gluon vertex (unlike QED)



$$g f^{abc} [g^{\mu\nu}(k-p)^\rho + g^{\nu\rho}(p-q)^\mu + g^{\rho\mu}(q-k)^\nu] ,$$

Four-gluon vertex (unlike QED)



$$\begin{aligned} & -ig^2 [f^{abe} f^{dce} (g^{\mu\rho} g^{\nu\lambda} - g^{\mu\lambda} g^{\nu\rho}) \\ & + f^{ade} f^{bce} (g^{\mu\nu} g^{\rho\lambda} - g^{\mu\lambda} g^{\rho\nu}) \\ & + f^{ace} f^{bde} (g^{\mu\nu} g^{\lambda\rho} - g^{\mu\rho} g^{\lambda\nu})] \end{aligned}$$

From the Faddeev–Popov functional determinant

$$\det \partial \cdot D = \int [Dc][D\bar{c}] \exp \left\{ -i \int \bar{c}(\partial^\mu D_\mu) c \right\}$$

anticommuting scalars or *ghosts*

Propagator $\frac{i}{k^2 + i\epsilon} \delta^{ab}$

coupling to gauge bosons $gf^{abc} k^\mu$

So What's Wrong with Feynman Diagrams?

- Huge number of diagrams in calculations of interest
- But answers often turn out to be very simple
- Vertices and propagators involve gauge-variant off-shell states
- Each diagram is not gauge invariant — huge cancellations of gauge-noninvariant, redundant, parts in the sum over diagrams

Simple results should have a simple derivation — attr to Feynman

- Want approach in terms of physical states only

Light-Cone Gauge

Only physical (transverse) degrees of freedom propagate

$$-\frac{i}{k^2 + i\epsilon} \delta^{ab} \left(g_{\mu\nu} - \frac{n^\mu k^\nu + n^\nu k^\mu}{n \cdot k} \right)$$
$$n^2 = 0$$

physical projector — two degrees of freedom

Color Decomposition

Standard Feynman rules \Rightarrow function of momenta, polarization vectors ϵ , and color indices

Color structure is predictable. Use representation

$$f^{abc} = -\frac{i}{\sqrt{2}} \operatorname{Tr}([T^a, T^b]T^c) \quad \operatorname{Tr}(T^a T^b) = \delta^{ab}$$

to represent each term as a product of traces,

and the Fierz identity

$$(T^a)_{i_1}{}^{\bar{i}_1} (T^a)_{i_2}{}^{\bar{i}_2} = \delta_{i_1}{}^{\bar{i}_2} \delta_{i_2}{}^{\bar{i}_1} - \frac{1}{N} \delta_{i_1}{}^{\bar{i}_1} \delta_{i_2}{}^{\bar{i}_2}$$

To unwind traces

$$\begin{aligned} f^{abc} f^{cde} &= -\frac{1}{2} \operatorname{Tr}([T^a, T^b] T^c) \operatorname{Tr}(T^c [T^d, T^e]) \\ &= -\frac{1}{2} \operatorname{Tr}([T^a, T^b] [T^d, T^e]) + \frac{1}{2N} \operatorname{Tr}([T^a, T^b]) \operatorname{Tr}([T^d, T^e]) \end{aligned}$$

Leads to tree-level representation in terms of single traces

$$\mathcal{A}_n^{\text{tree}}(\{k_i, \varepsilon_i, a_i\}) = g^{n-2} \sum_{\sigma \in S_n / Z_n} \operatorname{Tr}(T^{a_{\sigma(1)}} T^{a_{\sigma(2)}} \dots T^{a_{\sigma(n)}})$$

$\times A_n^{\text{tree}}(k_{\sigma(1)}, \varepsilon_{\sigma(1)}; k_{\sigma(2)}, \varepsilon_{\sigma(2)}; k_{\sigma(n)}, \varepsilon_{\sigma(n)})$

Color-ordered amplitude — function of momenta & polarizations alone; *not* Bose symmetric

Symmetry properties

- Cyclic symmetry

$$A_n^{\text{tree}}(1, \dots, n) = A_n(2, \dots, n, 1)$$

- Reflection identity

$$A_n^{\text{tree}}(n, \dots, 1) = (-1)^n A_n(1, \dots, n)$$

- Parity flips helicities

$$A_n^{\text{tree}}(1^{-\lambda_1}, \dots, n^{-\lambda_n}) = [A_n^{\text{tree}}(1^{\lambda_1}, \dots, n^{\lambda_n})]^\dagger$$

- Decoupling equation

$$\begin{aligned} A_{n+1}^{\text{tree}}(p, 1, 2, \dots, n) + A_{n+1}^{\text{tree}}(1, p, 2, \dots, n) + A_{n+1}^{\text{tree}}(1, 2, p, \dots, n) \\ + \dots + A_{n+1}^{\text{tree}}(1, 2, \dots, p, n) = 0 \end{aligned}$$

Color-Ordered Feynman Rules



$$\frac{i}{\sqrt{2}} [\varepsilon_1 \cdot \varepsilon_2 (k_1 - k_2) \cdot \varepsilon_3 + \varepsilon_2 \cdot \varepsilon_3 (k_2 - k_3) \cdot \varepsilon_1 + \varepsilon_3 \cdot \varepsilon_1 (k_3 - k_1) \cdot \varepsilon_2]$$



$$i\varepsilon_1 \cdot \varepsilon_3 \varepsilon_2 \cdot \varepsilon_4 - \frac{i}{2} (\varepsilon_1 \cdot \varepsilon_2 \varepsilon_3 \cdot \varepsilon_4 + \varepsilon_2 \cdot \varepsilon_3 \varepsilon_4 \cdot \varepsilon_1)$$

Amplitudes

Functions of momenta k , polarization vectors ϵ for gluons;
momenta k , spinor wavefunctions u for fermions

Gauge invariance implies this is a redundant representation:

$$\epsilon \rightarrow k: A = 0$$

Spinor Helicity

Spinor wavefunctions $|j^\pm\rangle \equiv u_\pm(k_j), \quad \langle j^\pm| \equiv \overline{u_\pm}(k_j)$.

Introduce *spinor products*

$$\langle i | j \rangle \equiv \langle i^- | j^+ \rangle = \overline{u_-}(k_i) u_+(k_j),$$

$$[i | j] \equiv \langle i^+ | j^- \rangle = \overline{u_+}(k_i) u_-(k_j)$$

Explicit representation

$$u_+(k) = \begin{pmatrix} \sqrt{k_+} \\ \sqrt{k_-} e^{i\phi_k} \end{pmatrix}, \quad u_-(k) = \begin{pmatrix} \sqrt{k_-} e^{-i\phi_k} \\ -\sqrt{k_+} \end{pmatrix}$$

where

$$e^{\pm i\phi_k} = \frac{k^1 \pm ik^2}{\sqrt{k_+ k_-}}, \quad k_\pm = k^0 \pm k^3$$

We then obtain the explicit formulæ

$$\langle i j \rangle = \sqrt{k_{i-} k_{j+}} e^{i\phi_{k_i}} - \sqrt{k_{i+} k_{j-}} e^{i\phi_{k_j}},$$

$$[i j] = \langle j i \rangle^* = \sqrt{k_{i+} k_{j-}} e^{-i\phi_{k_j}} - \sqrt{k_{i-} k_{j+}} e^{-i\phi_{k_i}} \quad (k_{i,j}^0 > 0)$$

otherwise $[j i] = \text{sign}(k_i^0 k_j^0) \langle i j \rangle^*$

so that the identity $\langle i j \rangle [j i] = 2k_i \cdot k_j$ always holds

Introduce four-component representation

$$\begin{bmatrix} u_+(k) \\ 0 \end{bmatrix}, \quad \begin{bmatrix} 0 \\ u_-(k) \end{bmatrix}$$

corresponding to γ matrices

$$\gamma^0 = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}, \quad \gamma^i = \begin{pmatrix} 0 & -\sigma^i \\ \sigma^i & 0 \end{pmatrix}$$

in order to define spinor strings

$$\langle i^\pm | \gamma^\mu | j^\pm \rangle \leftrightarrow \langle i^\pm | \sigma^\mu | j^\pm \rangle \quad \sigma^\mu = (1, \sigma^i)$$

Properties of the Spinor Product

- Antisymmetry $\langle j | i \rangle = -\langle i | j \rangle, \quad [j | i] = -[i | j]$
- Gordon identity $\langle i^\pm | \gamma^\mu | i^\pm \rangle = 2k_i^\mu$
- Charge conjugation $\langle i^- | \gamma^\mu | j^- \rangle = \langle j^+ | \gamma^\mu | i^+ \rangle,$
- Fierz identity $\langle i^- | \gamma^\mu | j^- \rangle \langle p^+ | \gamma^\mu | q^+ \rangle = 2 \langle i | q \rangle [p | j]$
- Projector representation $|i^\pm\rangle\langle i^\pm| = \frac{1}{2}(1 \pm \gamma_5)\not{k}_i$
- Schouten identity $\langle i | j \rangle \langle p | q \rangle = \langle i | q \rangle \langle p | j \rangle + \langle i | p \rangle \langle j | q \rangle .$

Spinor-Helicity Representation for Gluons

Gauge bosons also have only \pm physical polarizations

Elegant — and covariant — generalization of circular polarization

$$\varepsilon_\mu^+(k, q) = \frac{\langle q^- | \gamma_\mu | k^- \rangle}{\sqrt{2} \langle q | k \rangle}, \quad \varepsilon_\mu^-(k, q) = \frac{\langle q^+ | \gamma_\mu | k^+ \rangle}{\sqrt{2} [k | q]}$$

Xu, Zhang, Chang (1984)

reference momentum q $q \cdot k \neq 0$

Transverse $k \cdot \varepsilon^\pm(k, q) = 0$

Normalized $\varepsilon^+ \cdot \varepsilon^- = -1, \quad \varepsilon^+ \cdot \varepsilon^+ = 0$

What is the significance of q ?

$$\begin{aligned}\varepsilon_\mu^+(k, q') &= \frac{\langle q'^- | \gamma_\mu | k^- \rangle}{\sqrt{2} \langle q' | k \rangle} = \frac{\langle q'^- | \gamma_\mu k | q^+ \rangle}{\sqrt{2} \langle q' | k \rangle \langle k | q \rangle} \\ &= -\frac{\langle q'^- | k \gamma_\mu | q^+ \rangle}{\sqrt{2} \langle q' | k \rangle \langle k | q \rangle} + \frac{\sqrt{2} \langle q | q' \rangle}{\langle q' | k \rangle \langle k | q \rangle} k_\mu \\ &= \varepsilon_\mu^+(k, q) + \frac{\sqrt{2} \langle q | q' \rangle}{\langle q' | k \rangle \langle k | q \rangle} k_\mu\end{aligned}$$

Properties of the Spinor-Helicity Basis

Physical-state projector

$$\sum_{\sigma=\pm} \varepsilon_\mu^\sigma(k, q) \varepsilon_\nu^{\sigma*}(k, q) = \sum_{\sigma=\pm} \varepsilon_\mu^\sigma(k, q) \varepsilon_\nu^{-\sigma}(k, q) = -g_{\mu\nu} + \frac{q_\mu k_\nu + k_\mu q_\nu}{q \cdot k}$$

Simplifications

$$q \cdot \varepsilon^\pm(k, q) = 0,$$

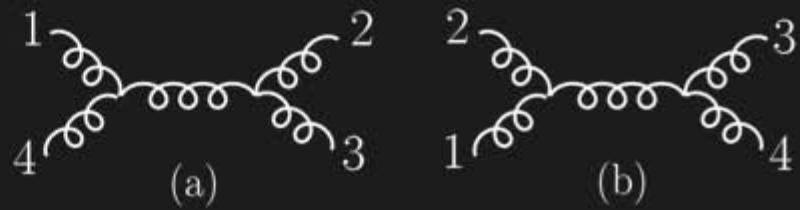
$$\varepsilon^+(k_1, q) \cdot \varepsilon^+(k_2, q) = \varepsilon^-(k_1, q) \cdot \varepsilon^-(k_2, q) = 0,$$

$$\varepsilon^+(k_1, q) \cdot \varepsilon^-(k_2, k_1) = 0$$

Examples

By explicit calculation (or other arguments), every term in the gluon tree-level amplitude has at least one factor of $\varepsilon_i \cdot \varepsilon_j$

Look at four-point amplitude



Recall three-point color-ordered vertex

$$\frac{i}{\sqrt{2}} [\varepsilon_1 \cdot \varepsilon_2 (k_1 - k_2) \cdot \varepsilon_3 + \varepsilon_2 \cdot \varepsilon_3 (k_2 - k_3) \cdot \varepsilon_1 + \varepsilon_3 \cdot \varepsilon_1 (k_3 - k_1) \cdot \varepsilon_2]$$

Calculate $A_4^{\text{tree}}(1^+, 2^+, 3^+, 4^+)$

choose identical reference momenta for all legs \Rightarrow all $\varepsilon \cdot \varepsilon$ vanish
 \Rightarrow amplitude vanishes

Calculate $A_4^{\text{tree}}(1^-, 2^+, 3^+, 4^+)$

choose reference momenta 4,1,1,1 \Rightarrow all $\varepsilon \cdot \varepsilon$ vanish
 \Rightarrow amplitude vanishes

Calculate $A_4^{\text{tree}}(1^-, 2^-, 3^+, 4^+)$

choose reference momenta 3,3,2,2
 \Rightarrow only nonvanishing $\varepsilon \cdot \varepsilon$ is $\varepsilon_1 \cdot \varepsilon_4$
 \Rightarrow only s_{12} channel contributes

$$\begin{aligned}
& \left(\frac{i}{\sqrt{2}} \right)^2 \left(-\frac{ig^{\mu\nu}}{s_{12}} \right) [-2k_1 \cdot \varepsilon_2^- \varepsilon_{1\mu}^-] [2k_4 \cdot \varepsilon_3^+ \varepsilon_{4\nu}^+] \\
&= -\frac{2i}{s_{12}} k_1 \cdot \varepsilon_2^- k_4 \cdot \varepsilon_3^+ \varepsilon_1^- \cdot \varepsilon_4^+ \\
&= -\frac{i}{s_{12}} \left(\frac{[3\,1]\langle 1\,2\rangle}{[3\,2]} \right) \left(\frac{\langle 2\,4\rangle [4\,3]}{\langle 2\,3\rangle} \right) \left(\frac{\langle 2\,1\rangle [3\,4]}{\langle 2\,4\rangle [3\,1]} \right) \\
&= -i \frac{\langle 1\,2\rangle^2 [3\,4]^2}{s_{12}s_{23}} \\
&= i \frac{\langle 1\,2\rangle^3}{\langle 2\,3\rangle \langle 3\,4\rangle \langle 4\,1\rangle}
\end{aligned}$$

No diagrammatic calculation required for the last helicity amplitude,

$$A_4^{\text{tree}}(1^-, 2^+, 3^-, 4^+)$$

Obtain it from the decoupling identity

$$\begin{aligned} & - A_4^{\text{tree}}(3^-, 1^-, 2^+, 4^+) - A_4^{\text{tree}}(1^-, 3^-, 2^+, 4^+) \\ &= i \frac{\langle 1 3 \rangle^3}{\langle 2 4 \rangle} \left(-\frac{1}{\langle 1 2 \rangle \langle 4 3 \rangle} + \frac{1}{\langle 3 2 \rangle \langle 4 1 \rangle} \right) \\ &= i \frac{\langle 1 3 \rangle^4}{\langle 1 2 \rangle \langle 2 3 \rangle \langle 3 4 \rangle \langle 4 1 \rangle} \end{aligned}$$

These forms hold more generally, for larger numbers of external legs:

$$A_n^{\text{tree}}(1^+, 2^+, \dots, n^+) = 0,$$

Parke-Taylor equations

$$A_n^{\text{tree}}(1^-, 2^+, \dots, n^+) = 0$$

Mangano, Xu, Parke (1986)

Maximally helicity-violating or ‘MHV’

$$A_n^{\text{tree}}(1^+, \dots, m_1^-, (m_1+1)^+, \dots, m_2^-, (m_2+1)^+, \dots, n^+) =$$

$$i \frac{\langle m_1 m_2 \rangle^4}{\langle 1 2 \rangle \langle 2 3 \rangle \cdots \langle (n-1) n \rangle \langle n 1 \rangle}$$

Proven using the Berends–Giele recurrence relations